



**ILLINOIS  
CRIMINAL JUSTICE  
INFORMATION AUTHORITY**

---

300 W. Adams Street • Suite 200 • Chicago, Illinois 60606 • (312) 793-8550

Regular Authority Meeting  
Friday, December 2, 2011 at 9:00 a.m.  
300 W. Adams, 2<sup>nd</sup> Floor Conference Room  
Chicago

**AGENDA**

- Call to Order and Roll Call
- 1. Approval of the Minutes of the September 2, 2011, Regular Meeting
- Chairman's Remarks
- 2. Executive Director's Remarks
- 3. Budget Committee Report (*Eugene E. Murphy Jr. Chair*)
  - a. Report on the October 6, 2011 Budget Committee Meeting
  - b. Fiscal Report
- Cost Benefit Analysis (*PEW Center for the States*)
- Old Business
- New Business
- Adjourn

This public meeting will be accessible to persons with disabilities in compliance with Executive Order #5 and pertinent State and Federal laws upon anticipated attendance. Persons with disabilities planning to attend and needing special accommodations should contact by telephone or letter Hank Anthony, Associate Director, Office of Administrative Services, Illinois Criminal Justice Information Authority, 300 W. Adams St. Suite 200, Chicago, Illinois, 60606-5150 or at (312) 793-8550. TDD services are available at (312) 793-4170.

## MINUTES

### REGULAR MEETING ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY Friday, September 2, 2011 at 9:03 a.m. 300 West Adams Street, 2<sup>nd</sup> Floor Conference Room Chicago, Illinois

#### **Call to Order and Roll Call**

Chairman Peter M. Ellis welcomed Board Members and guests to the third 2011 quarterly Board Meeting of the Illinois Criminal Justice Information Authority. He called the meeting to order and asked General Counsel Lisa Stephens to call the roll.

In addition to Chairman Ellis, Authority Board Members in attendance were:

State's Attorney and Vice Chairman Anita Alvarez  
Public Defender Abishi Cunningham  
Director Patrick Delfino  
Director Bradley Demuzio  
Ms. Barbara Engel  
Director S. A. Godinez  
Mr. Felix M. Gonzalez  
Director Hiram Grau  
Ms. Cynthia Hora  
Superintendent Garry F. McCarthy  
Director Kevin T. McClain  
Director Michael J. Pelletier  
Public Defender Randall B. Rosenbaum  
Mr. John Z. Toscas

#### **Approval of Minutes of the June 3, 2011 Regular Meeting**

With a quorum in place, Chairman Peter M. Ellis then asked for a motion to adopt the minutes of the June 3, 2011 Authority Board Meeting.

{With corrections suggested by Ms. Barbara Engel, Mr. John Z. Toscas so moved. Director Patrick Delfino seconded the motion, which was adopted by unanimous voice vote.}

### **Chairman's Remarks**

Chairman Ellis then welcomed new Authority Board Member Garry F. McCarthy, Superintendent of the Chicago Police Department, to his first meeting. Superintendent McCarthy thanked Chairman Ellis and the Board for the opportunity to serve and said he was excited about the unlimited potential in what can be done at the Authority as far as criminal justice is concerned.

Chairman Ellis thanked Superintendent McCarthy and next called upon Executive Director Jack Cutrone for his remarks.

### **Executive Director's Remarks**

Mr. Cutrone said that the federal fiscal year '11 budget had been cut for Justice Assistance Grants (JAG) by 17 percent. He added that it is anticipated that another 17 percent reduction in JAG funding could be experienced based on some legislation that had come out of a House Committee on Appropriations for Public Safety. He said that the figure has not been finalized with those appropriations and budget bills still working their way through Congress.

Thus he asked Board Members who had not already sent letters of support for funding to Representatives in their jurisdictions and also to the two U.S. Senators from Illinois to please do so. He said that it was important for Board Members as the leaders of the criminal justice community in Illinois to make their voices heard in Congress, showing the good work that has been done with federal grant money to maintain and increase public safety.

Mr. Cutrone reported on legislation that was passed in the Illinois General Assembly over the last year that affects the Authority. He said that one piece was the creation of the Task Force on Inventorying Employment Restrictions, placing it in the Authority to contact all state agencies to examine their policies about the extent to which criminal history records prevent employment with the agency or prohibit licensing in a profession or trade licensed by the agency.

He pointed out that barriers to employment represent one of the largest impediments to successful integration into the community and that many times those barriers to employment are a result of criminal history records that may not bear any relationship at all to a particular job that is involved.

Mr. Cutrone then mentioned the Act to Abolish the Death Penalty in Illinois signed by the Governor, which he explained includes a part called the Death Penalty Abolition Fund that is to be administered by the Authority. He explained that it is for providing services to surviving family members of homicide victims, and that ICJIA General

Counsel Lisa Stephens is taking the lead in trying to develop a plan for the Authority in that regard.

Next, Director Kevin T. McClain inquired as to the process for determining how to disperse the money in the Fund. Mr. Cutrone said that the normal process of presenting grant designations to the Budget Committee would be followed.

Mr. Cutrone then discussed another grant program to be administered by the Authority that was created by legislation, the Prescription Drug Disposal Fund. He said the money is basically to fund police agencies or provide funds for reimbursement to police agencies that create programs for properly disposing of prescription drugs, as well as to underwrite some incentive efforts to encourage the public to engage in such programs. He explained that the fund will be supported by court costs and fees imposed in drug cases and added that the amount was not known as yet nor was the collection process. He said that as with the Death Penalty Abolition Fund, ICJIA needs to get spending authority from the legislature before any money can be spent.

Mr. Cutrone next highlighted other activities in which the Authority is engaged, including the ICJIA Criminal Justice Strategic Plan for Illinois, a request for assistance from the Kane County State's Attorney's Office to help it develop a risk assessment tool to be used as a guide in its sentencing recommendations, and a plan is to bring in some national experts to speak with police chiefs and police administrators from throughout the state and to present best practices in police consolidation along with providing some technical assistance. He also mentioned St. Leonard's House Ministries' grant and evaluation, and the Center of Excellence to help jurisdictions in Illinois develop Mental Health Courts to deal with people with mental and emotional issues to produce better, more economical results instead of just recycling them through a revolving door in the criminal justice system.

At that point, Mr. Cutrone asked if there were other questions or concerns. Hearing none, Chairman Ellis thanked Mr. Cutrone and moved on to financial matters.

### **Budget Committee Report**

At approximately 9:42 a.m., Chairman Ellis convened a Budget Committee Meeting, appointing all Board Members present as a Committee of the whole. In the absence of Budget Committee Chairman Eugene E. Murphy, Jr., Chairman Ellis conducted the meeting.

### **Approval of Minutes of the June 3, 2011 Budget Committee Meeting**

With a quorum in place, Chairman Ellis called for a motion to adopt the minutes of the June 3, 2011 Budget Committee Meeting.

{Mr. John Z. Toscas so moved. Mr. Felix Gonzalez seconded the motion, which was adopted by unanimous voice vote.}

#### Approval of Minutes of the July 14, 2011 Budget Committee Meeting

Chairman Ellis then called for a motion to adopt the minutes of the July 14, 2011 Budget Committee Meeting.

{With corrections suggested by Ms. Cynthia Hora and Ms. Barbara Engel, Mr. Toscas so moved. Mr. Gonzalez seconded the motion, which was adopted by unanimous voice vote.}

#### Justice Assistance Grants (JAG) FFY08 Supplemental and FFY09 Plan Adjustments

##### FFY08 Supplemental

##### Recommended Designations

Authority Program Supervisor Herbert Johnson, referring to the memo regarding Justice Assistance Grants (JAG) FFY08 Supplemental and FFY09 Plan Adjustments and dated September 2, 2011, said that staff recommends designating \$112,000 in FFY08 Supplemental funds to the Illinois State Police for the purchase of eight portable Livescan machines.

Mr. Johnson said that staff recommends designating \$13,000 in FFY08 Supplemental funds to the Illinois Criminal Justice Information Authority (the Authority) for the purpose of hosting a symposium entitled, *The New Paradigm in Policing: Shared Services and Consolidation*.

##### FFY09

Mr. Johnson said that staff recommends designating a maximum of \$132,000 to the Cook County Public Defender's Office (CCPD) for the purpose of creating information technology and software capabilities for the Public Defender's Case Management System called *Project BRIDGE*. He explained that this will provide the CCPD with interfacing capabilities with the clerk of the circuit court's office to download necessary data for the CCPD's cases. He added that the CCPD downloads data to about 300,000 clients and will eliminate the need to reenter data, allowing data to be transferred seamlessly.

{After a discussion as to the cost-savings aspect of *Project BRIDGE*, Mr. Toscas moved to approve (JAG) FFY08 Supplemental and FFY09 Plan Adjustments. The motion was seconded by Mr. Gonzalez and adopted by voice vote, with Public Defender Abishi Cunningham abstaining.}

Director Cutrone, in response to a question by Ms. Hora, said that JAG FFY08 and FFY09 funds expire in 2012. Mr. Johnson verified that the expiration date is 2012.

Ms. Engel said that there are funds remaining in FFY08, FFY08 Supplemental, FFY09, and ARRA09. She inquired as to the existence of proposals for these programs, especially given that there is only one year to spend some of these funds, as opposed to resorting to spending the money on equipment. Director Cutrone said that every effort would be made and that the Authority has been better at programming funds in the past.

### Adjourn

At approximately 9:55 a.m., the Budget Committee portion of the meeting concluded and the Authority Regular Board Meeting resumed.

### **Fiscal Report by Dreena Jones, Chief Fiscal Officer**

Chairman Ellis asked Ms. Dreena Jones, Chief Fiscal Officer, for a fiscal report. Ms. Jones called attention to Exhibit 1, Operations, in the Board materials, which she explained showed that for the period of July 1, 2010 through August 15, 2011 the Authority had expended and obligated 80 percent of its General Revenues. She said that since then, the Authority's entire General Revenues appropriation minus the reserves had been used.

She then turned to Exhibit 2, Awards and Grants, and reviewed the following: that the Authority had expended and obligated 99 percent of its General Revenue Matching Funds and 44 percent of the total appropriation; the Criminal Justice Trust Fund expended and obligated 44 percent representing approximately \$48 million; the General Revenue Matching Fund expended and obligated \$640,000, representing 99 percent of the appropriation; the Criminal Justice Information Projects Fund expended and obligated \$19,577; and the Juvenile Accountability Incentive Block Grant Fund spent approximately \$1.8 million, which was 40 percent of the appropriation.

She said that based on suggestions at the last Quarterly Board Meeting, two exhibits were added to provide more information. She explained that Exhibit 3 outlines the federal sources that fund the fiscal year expenditures, noting that cash drawn down from the Federal Grant programs in fiscal year 2011 as of August 15, 2011 was \$47, 236,636. She pointed out that this value does not exclude Justice Accountability Block Grant (JABG) and Justice Assistance Grant (JAG) awards that are not drawn down on a reimbursement basis. She then explained that Exhibit 4 shows in percentages how much each grant contributes to the entire amount of federal funds the Authority received in fiscal year 2011, with JAG, Victims of Crime Act (VOCA) and Violence Against Women Act (VAWA) programs representing 91 percent of the Authority's federal funding.

In conclusion, she asked for any questions. With no response, Chairman Ellis thanked Ms. Jones and concluded the Budget Committee Meeting. Returning to the agenda of the Authority Board Meeting, he introduced Mr. Mark Kammerer of the Cook County State's Attorney's Office for a presentation on "The Expansion and Impact of Alternative Prosecution and Sentencing Programs in Cook County."

**Presentation on "The Expansion and Impact of Alternative Prosecution and Sentencing Programs in Cook County" by Mark Kammerer, Coordinator of Alternative Prosecutions and Sentencing Programs, Cook County State's Attorney's Office**

Mr. Kammerer said that the alternative initiatives he would be discussing are developed and monitored by the State's Attorney's Office and are limited to non-violent offenders. He explained that the two Alternative Prosecution Programs are those in which the person does not plead guilty to anything if he or she agrees to meet certain criteria established by the prosecution.

He said that if the person successfully complies with the prosecution's requirements, charges are dismissed outright upon completion of the program, unlike Alternative Sentencing, which is post-conviction. He explained that the latter involves a plea of guilt, and represents a large collaboration between the prosecution, defense, judiciary, probation, sheriff, and community agencies, such as Treatment Alternatives for Safe Communities (TASC).

Of the two Alternative Prosecution programs, he stated that Drug School is the largest existing in the county with 3,500 cases per year on a regular basis over the last ten years. He reported that of those cases, 90 percent successfully complete their program and have their charges dismissed outright. In responding to a question regarding new arrest rates for those 90 percent, Mr. Kammerer said that in the first year about 20 percent have a new arrest and about 11 or 12 percent are drug-related as compared to those who do not complete the program with over 60 percent having a new arrest and of those, close to 50 percent having a new drug arrest. Also in response to a question as to what percentage of the total eligible individuals do not register or miss classes, he cited about 10 percent.

Mr. Kammerer next described the second deferred prosecution initiative. He said that the State's Attorney initiated the Felony Deferred Prosecution Program in March, 2011 to meet a population that was not eligible for Drug School. In describing the program, he said that it is more demanding than Drug School and if people meet the criteria in terms of their current charge and criminal background, they are offered the program by the State's Attorney in the courtroom. He further explained that if they accept it, they are transferred to one particular court call at 26<sup>th</sup> and California, Branch 9, and are assigned to that courtroom initially and every three months subsequently for one year to report to the Court as to their participation level and their progress.

He added that the partners in the program with the State's Attorney's Office include representatives of the Pre-Trial Services Department through the Office of the Chief

Judge and TASC, who meet with the individuals on a regular basis for one year, which is the course of the program. He said that the requirements of the program are refraining from criminal activity, not getting a new arrest, and engaging in appropriate educational, vocational and treatment activities, including community service. He continued to explain that if, at the end of their 12 months in the program and reporting to the Court three times in the interim, they meet the program's requirements, their charges will be dismissed outright.

He reported that about 300 cases were referred to the program between its inception and the present, and of them 16 did not join, with the following placements: vocational training, six percent; educational, 13 percent; community service, seven percent; and drug education, eight percent. He said that to date, 95 percent of the participants have not been arrested for a new criminal activity and 90 percent are in compliance with the expectations of the program.

In an ensuing discussion regarding whether the Public Defender's Office is involved in the Felony Deferred Prosecution Program, Public Defender Cunningham responded in the affirmative and Mr. Kammerer noted that there is a defense lawyer with the participant at every court appearance. He then noted that if there is a victim involved, the victim must agree to the person's participation, by signing a statement with the State's Attorney's Office, and in addition, if there is restitution involved, the restitution must be paid in full before charges are dropped.

Mr. Kammerer moved on to discuss the Alternative Sentencing Program, pointing out that the primary treatment courts involved include Drug Court, Veterans' Court, and Mental Health Court. He said that by statute the State's Attorney's Office must agree to the defendant's participation in any of those courts along with his or her agreement, as a voluntary process. He pointed out that the Drug Treatment Courts were established in the county in 1998, starting with three and now up to five. He reported that to date there have been over 1,000 individuals countywide who have successfully completed the program.

In describing the Drug Treatment Court Program, Mr. Kammerer said that there are follow-up reviews of people who graduate after one, three and ten years, examining criminal activity and comparing it to comparable periods prior to entering the program. He pointed out that in the first year 85 percent have not had a new arrest after completing Drug Court, and 90 percent do not have a new drug arrest, which represents a trend of a very significant decrease that occurs.

Mr. Kammerer went on to discuss the Mental Health Court Program, stating that the first one was started in 2004 at 26<sup>th</sup> Street after a realization that a large number of people in the system have a co-occurring mental health disorder. He reported that there are now seven Mental Health Courts in the county, explaining that the same basic concept as a non-adversarial court process is used with significant supervision focusing on mental health services.

He said that over 320 individuals have been admitted to the various Mental Health Courts in the county, with 89 percent having no felony convictions since they were admitted, and 82 percent with no felony arrests. He explained that there only has been a three-year follow-up for the participants because they have not been out for ten years, adding that 96 percent had no felony convictions within one year after completion and 94 percent of the graduates had no felony conviction three years after their completion of the program.

He described the newest Alternative Sentencing program, Veterans' Court, which was started in February, 2009 at 26<sup>th</sup> Street and was the fifth newest Veterans' Court in the United States, with Cook County now having six. He said that in addition to operating similarly as Drug Treatment Court and Mental Health Court, Veterans' Court has a strong collaboration with the Veterans' Administration (VA). He pointed out that by linking participants to the VA, they are no longer dependent on many county or state services by accessing VA federal services, thereby saving the county or state money.

In closing, he said that he very rarely sees an instance where there is not at least a major decrease in arrests in the first year for individuals in the Mental Health, Drug, or Veterans' Court programs. He also pointed to the cost benefits to the county of the different Alternative Prosecution/Sentencing Programs. He cited Drug School as saving around \$2 million per year; Mental Health Court, just in terms of custody costs, approximately \$4 million per year; and Veterans' Court, about \$750,000, with the total for the three nearly \$7,500,000, which does not take into account Drug Court, the new Felony Deferred Prosecution program or the Felony Prostitution Court that just started.

Mr. Kammerer said that the State's Attorney's Office is very supportive of these types of programs as long as they involve nonviolent individuals who are not posing any imminent risk to public safety and are interested in turning their lives around. He then asked if there were any questions or comments.

In the discussion that ensued, many positive remarks were made about the approach that the Alternative Programs have taken to help individuals rather than be strictly punitive. Also compliments were voiced about the programs' effectiveness in reducing recidivism, the cost savings to the county they engender, and the collaborative efforts involved. At that point, similar successful undertakings in several other counties throughout the state were described which led to a discussion suggesting that perhaps a major focus should be placed on expanding the use of such models.

In response, Director Kevin T. McClain said that although he was impressed with the programs, he had concerns about applying them universally. Mr. Cutrone remarked that he felt by analyzing the programs that have worked and identifying the factors that the evidence shows have been successful, those programs should be expanded to matched populations.

Chairman Ellis then asked if there were any other questions or comments. Hearing none, he thanked Mr. Kammerer for his presentation and commended the work of the State's Attorney's Office.

He also asked if there were any old or new business. With no response, Chairman Ellis thanked everyone for their participation, announced that the next Authority Board Meeting would be December 2, 2012, and called for a motion to adjourn.

**Adjournment**

{Ms. Cynthia Hora's motion to adjourn was seconded by Ms. Barbara Engel and adopted by unanimous voice vote.}



ILLINOIS  
CRIMINAL JUSTICE  
INFORMATION AUTHORITY

---

300 W. Adams Street • Suite 200 • Chicago, Illinois 60606 • (312) 793-8550

# Memorandum

**To:** Authority Members  
**From:** Dreena S. Jones, Chief Fiscal Officer  
**Date:** November 14, 2011  
**Re:** Authority Fiscal Reports

---

Attached are the FY 2012 fiscal reports covering the period:  
July 1, 2011 through November 09, 2011

## **Exhibit #1 – Operations**

As shown on Exhibit 1, the Authority has expended and obligated 33% of our General Revenue operations.

## **Exhibit #2 – Awards and Grants**

As shown on Exhibit 2, the Authority has expended and obligated 39% of the total appropriation.

## **Exhibit #3 – Federal Funding Sources FY 2012**

Exhibit #3 supplies detail regarding the Federal Sources that fund the fiscal year expenditures. Cash drawn down from the federal grant programs in the fiscal year 2012 as of November, 2011 was approximately \$16.6 MM. This value does not exclude JABG and JAG award expenditures that are not drawn down on a reimbursement basis.

## **Exhibit #4 – Federal Grant Programs**

As shown on Exhibit 4, the Authority receives more funding from the JAG, VOCA and VAWA programs. These programs represent approximately 91% of federal funded awards to ICJIA in FY 2012.

I will be available at the Authority meeting to answer any questions you may have regarding these reports.



ILLINOIS  
CRIMINAL JUSTICE  
INFORMATION AUTHORITY

---

300 W. Adams Street • Suite 200 • Chicago, Illinois 60606 • (312) 793-8550

# Memorandum

**To:** Authority Members  
**From:** Dreena S. Jones, Chief Fiscal Officer  
**Date:** November 14, 2011  
**Re:** Summary of Office of Fiscal Management Activities

---

The following highlights the work by the Office of Fiscal Management for the Fiscal Year 2012 through November 09, 2011:

## Reports

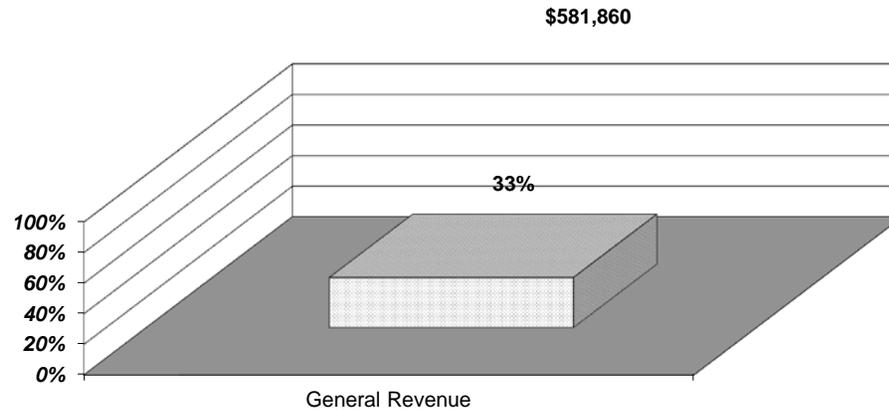
The following reports were prepared and submitted by the OFM staff:

- Quarterly Federal Financial Status Reports (SF 425's) for direct and formula grant awards
- Cost center reports for agency operations and federal grant cost centers.
- Monthly reports for funding and expenditures for the American Recovery and Reinvestment Act (ARRA) grants
- Quarterly analysis report preparation for the Governor's Office of Management and Budget

## Federal Grants

- Conducted preliminary budget reviews of approximately 177 interagency agreements
- Processed 129 contract obligation documents (\$14,241,122) and 625 vouchers (\$17,531,429) for federal grants to state and local governments and not-for-profit agencies

**Exhibit #1 - Operations**  
**Illinois Criminal Justice Information Authority**  
**FY 2011 Expenditures/Obligations**  
 July 1, 2011 - November 09, 2011



**General Revenue**

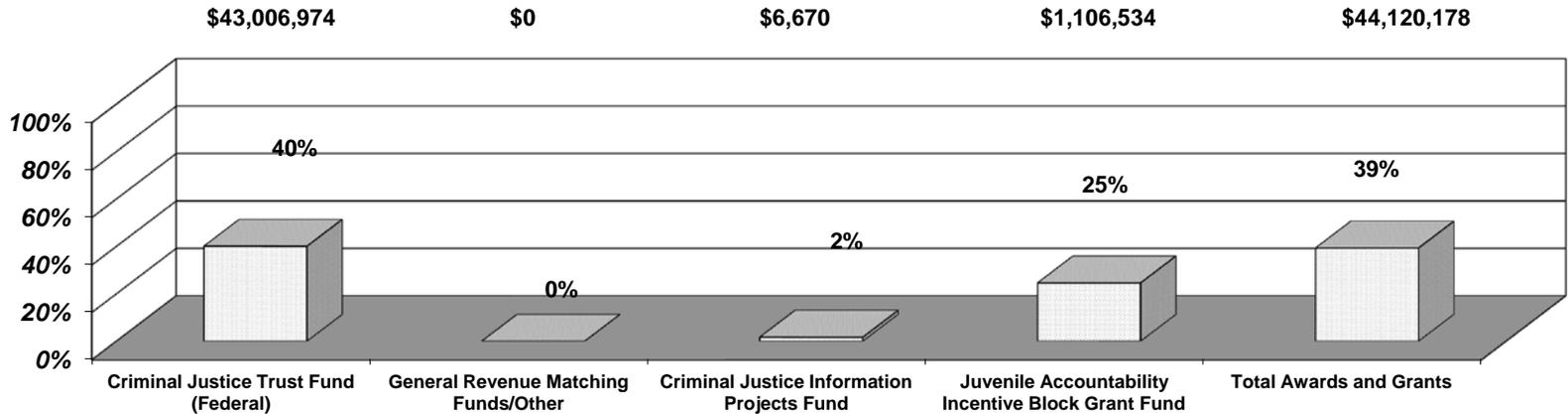
	Budget/ (1) Appropriation	Reserve	Expenditures/ Obligations	Balance (2)
Personal Services	\$1,213,800	\$0	\$440,774	\$773,026
Retirement	\$0	\$0	\$0	\$0
FICA	\$92,800	\$0	\$32,997	\$59,803
Contractual	\$328,600	\$0	\$88,835	\$239,765
Travel	\$5,000	\$0	\$1,553	\$3,447
Commodities	\$10,000	\$0	\$827	\$9,173
Printing	\$10,000	\$0	\$113	\$9,887
Equipment	\$1	\$0	\$0	\$1
EDP	\$67,500	\$0	\$792	\$66,708
Telecommunications	\$45,000	\$0	\$12,469	\$32,531
Operation of Auto	\$5,000	\$0	\$3,500	\$1,500
Prompt Pay Interest	\$0	\$0	\$0	\$0
<b>Total</b>	<b>\$1,777,701</b>	<b>\$0</b>	<b>\$581,860</b>	<b>\$1,195,841</b>

% of Appropriation (less Reserve):                      0%                      33%                      67%

(1) This amount is the total that the Legislature approved and does not equal the total amount spent.

(2) Balance does not equal end of period cash balance. This is the difference between budget and actual expenditures / obligations.

**Exhibit #2 - Awards & Grants**  
**Illinois Criminal Justice Information Authority**  
**FY 2011 Expenditures/Obligations**  
 July 1, 2011 - November 09, 2011



	Criminal Justice Trust Fund (Federal)			General Revenue Matching Funds/Other				Criminal Justice Information Projects Fund		
	Budget/ (1) Appropriation	Expenditures/ Obligations	Balance (2)	Budget/ (1) Appropriation	Reserve	Expenditures/ Obligations	Balance (2)	Budget/ (1) Appropriation	Expenditures/ Obligations	Balance (2)
Federal Assistance Support	\$10,100,000	\$2,045,043	\$8,054,957	\$0	\$0	\$0	\$0			
State Agencies	\$27,500,000	\$10,986,916	\$16,513,084							
Locals/Non-Profit Orgs.	\$68,100,000	\$29,944,946	\$38,155,054							
Misc. Awards/Grants	\$1,700,000	\$30,070	\$1,669,930	\$0	\$0	\$0	\$0	\$400,000	\$6,670	\$393,330
<b>Total</b>	<b>\$107,400,000</b>	<b>\$43,006,974</b>	<b>\$64,393,026</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$400,000</b>	<b>\$6,670</b>	<b>\$393,330</b>
% of Appropriation (less Reserve):		40%	60%			%	%		2%	98%
	<b>Juvenile Accountability Incentive Block Grant Fund</b>			<b>Total</b>						
	Budget/ (1) Appropriation	Expenditures/ Obligations	Balance (2)	Budget/ (1) Appropriation	Reserve	Expenditures/ Obligations	Balance (2)			
Federal Assistance Support				\$10,100,000	\$0	\$2,045,043	\$8,054,957			
State Agencies				\$27,500,000	\$0	\$10,986,916	\$16,513,084			
Locals/Non-Profit Orgs.				\$68,100,000	\$0	\$29,944,946	\$38,155,054			
Misc. Awards/Grants				\$2,100,000	\$0	\$36,740	\$2,063,260			
Juvenile Accountability Block Grant	\$4,500,000	\$1,106,534	\$3,393,466	\$4,500,000	\$0	\$1,106,534	\$3,393,466			
<b>Total</b>	<b>\$4,500,000</b>	<b>\$1,106,534</b>	<b>\$3,393,466</b>	<b>\$112,300,000</b>	<b>\$0</b>	<b>\$44,120,178</b>	<b>\$68,179,823</b>			
% of Appropriation (less Reserve):		25%	75%			39%	61%			

(1) This amount is the total that the Legislature approved and does not equal the total amount spent.

(2) Balance does not equal end of period cash balance. This is the difference between budget and actual expenditures / obligations.

Exhibit #3- Federal Funding Sources FY 2012  
 Illinois Criminal Justice Information Authority  
 Federal Funding FY 2012

Program Name	Total Award <sup>1</sup>	Interest Earned	Grand Total <sup>2</sup>	Expense-to-Date Through 11/09/11 <sup>3</sup>	Revenue/Expenditures FY2012 <sup>4</sup>	Remaining Award Through 11/09/11
CMA-Congressionally Mandated Award Total	\$178,870	\$0	\$178,870	\$178,870	\$0	\$0
JABG-Juvenile Accountability Block Grant Total <sup>4</sup>	\$7,715,677	\$103,377	\$7,819,054	\$1,721,501	\$249,742	\$6,097,553
JAG-Edward Byrne Memorial Justice Assistance Grant Total <sup>4</sup>	\$50,801,646	\$871,982	\$51,673,628	\$19,699,522	\$3,868,846	\$31,974,106
JAG ARRA-Edward Byrne Memorial Justice Assist. Grant American Recovery Reinvestment Act Total	\$50,198,081	\$514,158	\$50,712,239	\$29,581,874	\$4,145,406	\$21,130,365
NARIP-National Instant Criminal Background Check System Act Record Improv. Program Total	\$1,209,500	\$0	\$1,209,500	\$45,400	\$0	\$1,164,100
NCHIP-National Criminal History Improvement Program Total	\$590,000	\$0	\$590,000	\$248,743	\$18,957	\$341,257
NFSIA-Paul Coverdell Forensic Science Improvement Program Total	\$1,743,792	\$0	\$1,743,792	\$173,613	\$54,894	\$1,570,179
PDNAT-Post conviction DNA Testing Assistance Program Total	\$687,448	\$0	\$687,448	\$187,966	\$154,516	\$499,482
PSN-Project Safe Neighborhoods Total	\$571,318	\$0	\$571,318	\$568,072	\$3,949	\$3,246
RSAT -Residential Substance Abuse Treatment Grant Total	\$2,339,985	\$0	\$2,339,985	\$1,204,713	\$246,671	\$1,135,272
VAWA-Violence Against Women Act Total	\$30,662,949	\$0	\$30,662,949	\$19,698,735	\$1,668,014	\$10,964,214
VAWA Rural-Violence Against Women Act Rural Total	\$564,960	\$0	\$564,960	\$525,222	(\$39,737)	\$39,738
VAWA SASP-Violence Against Women Act Sexual Assault Service Program Grant Total	\$860,589	\$0	\$860,589	\$657,526	\$89,375	\$203,063
VAWA ARRA-Violence Against Women Act American Recovery Reinvestment Act Total	\$5,094,365	\$0	\$5,094,365	\$3,815,323	\$987,187	\$1,279,042
VOCA-Victims of Crime Act Total	\$60,401,949	\$0	\$60,401,949	\$44,262,242	\$5,150,261	\$16,139,707
VOCA ARRA-Victims of Crime Act American Recovery Reinvestment Act Total	\$1,353,000	\$0	\$1,353,000	\$1,342,372	\$0	\$10,628
<b>Total Grant Awards</b>	<b>\$214,974,129</b>	<b>\$1,489,517</b>	<b>\$216,463,646</b>	<b>\$123,911,694</b>	<b>\$16,598,082</b>	<b>\$92,551,952</b>

<sup>1</sup> Total Award represents grants that are active during the FY 2012.

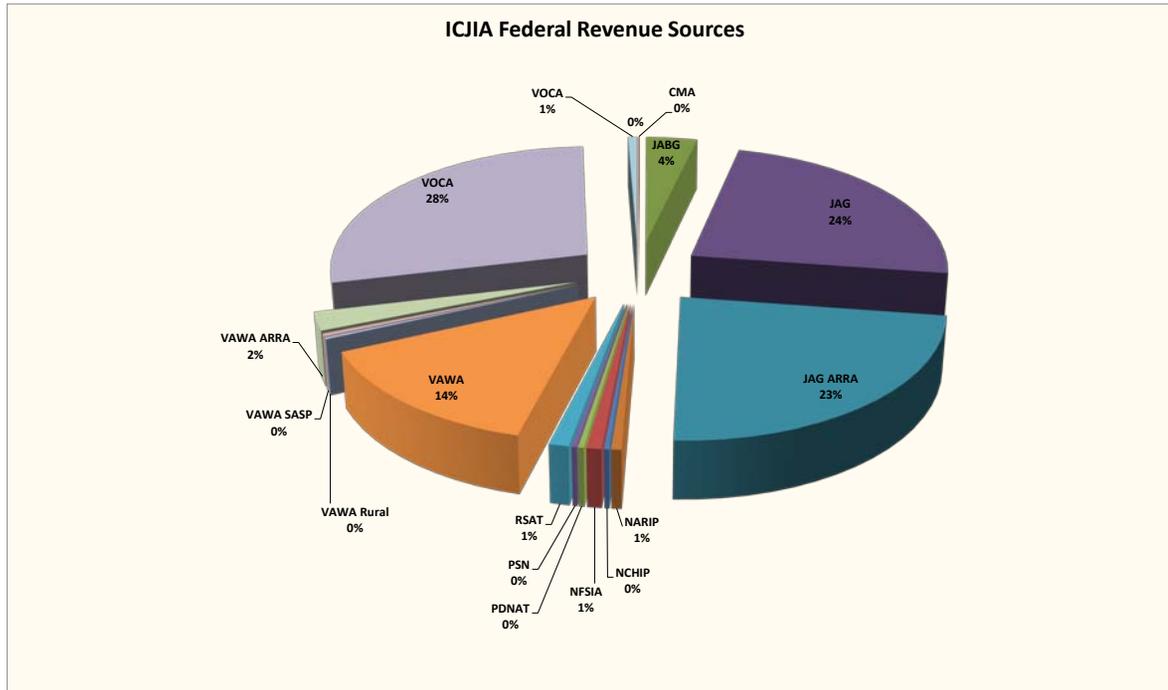
<sup>2</sup> Grand total includes interest earned, which may be added to administrative funds and/or distributed to grantees.

<sup>3</sup> Grant Expenditures-to-date represent all expenses incurred from the inception of the grant award that are currently active during FY 2012.

<sup>4</sup> Revenue/Expenditures values represent expenditures and cash draw-downs during the FY 2012 July 1st through November 9, 2011. These values exclude obligations. JABG and JAG awards are drawn down as awarded while the remaining grant draw-downs are reimbursements of expenditures.

Exhibit # 4 - Illinois Criminal Justice Information Authority Federal Grant Programs FY 2012

Program	Total <sup>2</sup>	%
CMA-Congressionally Mandated Award Total	\$178,870	0%
JABG-Juvenile Accountability Block Grant Total <sup>4</sup>	\$7,715,677	4%
JAG-Edward Byrne Memorial Justice Assistance Grant Total <sup>4</sup>	\$50,801,646	24%
IAG ARRA-Edward Byrne Memorial Justice Assist. Grant American Recovery Reinvestment Act Total	\$50,198,081	23%
NARIP-National Instant Criminal Background Check System Act Record Improv. Program Total	\$1,209,500	1%
NCHIP-National Criminal History Improvement Program Total	\$590,000	0%
NFSIA-Paul Coverdell Forensic Science Improvement Program Total	\$1,743,792	1%
PDNAT-Post conviction DNA Testing Assistance Program Total	\$687,448	0%
PSN-Project Safe Neighborhoods Total	\$571,318	0%
RSAT -Residential Substance Abuse Treatment Grant Total	\$2,339,985	1%
VAWA-Violence Against Women Act Total	\$30,662,949	14%
VAWA Rural-Violence Against Women Act Rural Total	\$564,960	0%
VAWA SASP-Violence Against Women Act Sexual Assault Service Program Grant Total	\$860,589	0%
VAWA-Violence Against Women Act American Recovery Reinvestment Act Total	\$5,094,365	2%
VOCA-Victims of Crime Act Total	\$60,401,949	28%
VOCA ARRA-Victims of Crime Act American Recovery Reinvestment Act Total	\$1,353,000	1%
<b>Total Grant Awards</b>	<b>\$214,974,129</b>	<b>100%</b>



<sup>1</sup> Total Active Awards - Values represent the total of awards approved and active during the FY 2012. These totals do not represent current cash or award balances.

<sup>2</sup> Total -The figures for each program may include more than one Federal Fiscal Year award and does not exclude expenditures.

General Revenue	33%
-----------------	-----

Criminal Justice Trust Fund (Federal)	40%
---------------------------------------	-----

General Revenue Matching Funds/Other	%
--------------------------------------	---

Criminal Justice Information Projects Fund	2%
--	----

Juvenile Accountability Incentive Block Grant Fund	25%
--	-----

Total Awards and Grants	39%
-------------------------	-----



ILLINOIS  
CRIMINAL JUSTICE  
INFORMATION AUTHORITY

---

300 W. Adams Street • Suite 200 • Chicago, Illinois 60606 • (312) 793-8550

# Memorandum

**To:** Authority Members

**From:** Mike Carter, Federal & State Grants Unit

**Date:** November 14, 2011

**Re:** Federal and State Grants Unit Report – December 2, 2011 Authority Meeting

---

The staff assigned to the Federal and State Grants Unit (FSGU) performed the following activities during the period of August 1 to October 31, 2011. During this period, FSGU headcount dropped from 19 to 18.

## Grant Activities

During the reporting period, FSGU staff monitored 449 grants, representing approximately \$79,318,995 in grant funds. Monitoring included the following:

- Reviewing (1,126) monthly or quarterly reports: (722) data and (404) fiscal;
- Initiating disbursement of funds requested by grantees;
- Conducting (approximately 15) site visits;
- Processing budget revisions and/or amendments to existing agreements;
- Reviewing requests for proposals (RFPs) drafted by grantees and proposed subcontracts between grantees and other service providers or vendors; and
- Engaging in constant daily contact with our grantees to resolve grant-related technical assistance issues. This includes telephonic, e-mail, and on site contacts with grantees that requested assistance regarding issues relating to their grant(s). Staff also receives communications from non-grantees regarding types of grants available through the federal government, and/or how to complete forms for federal grants.

During this reporting period, FSGU staff began processing 21 new agreements (grants), representing \$3,233,873 in grant funds. Processing of a new agreement includes:

- Negotiating the program narrative, budget, and budget narrative with the grantee;
- Processing the grant proposal for in-house legal, fiscal, and research and analysis reviews and comments;
- Making necessary changes and forwarding the agreement to the grantee for signature;
- Once returned, processing the agreement through the Office of General Counsel for the executive director's signature, and, when signed, returning a fully executed copy to the grantee as well as other contacts; and
- Initiating an obligation and disbursing any initial funds that are requested.

## **Administrative Activities**

### **Meetings**

During the reporting period, FSGU staff planned for and/or staffed two meetings:

- The Illinois Motor Vehicle Theft Prevention Council (IMVTPC) conducted a Grant Review meeting on August 19, 2011 in Chicago.
- The Authority's Budget Committee met on October 6, 2011 at the Authority's offices in Chicago.

Meeting preparation often involves coordination with other Authority units such as Research and Analysis and the Office of Administrative Services, and often includes logistics coordination and production, assembly, and mailing of materials.

### **Federal Program Awards**

Between August 1, 2011 and October 31, 2011, the Authority received the following awards from the federal government:

<b>Program</b>	<b>Date Received</b>	<b>Amount</b>
Juvenile Accountability Block Grant (JABG) FFY11	August 5, 2011	\$1,293,577
Justice Assistance Grant (JAG) FFY11	August 15, 2011	\$9,517,027
Residential Substance Abuse Treatment (RSAT) FFY11	August 17, 2011	\$694,370
National Forensic Science Improvement Act (NFSIA) FFY11	August 31, 2011	\$791,358
Violence Against Women Act (VAWA) FFY11	September 7, 2011	\$4,868,690
Sexual Assault Services Program (VAWA SASP) FFY11	September 22, 2011	\$247,414

### **Training**

- One staff member attended a Domestic Violence Judicial Training in Chicago. This was a VAWA-funded training through the Cook County Domestic Violence Legal Clinic in partnership with the Domestic Violence Unit of the Cook County Circuit Court. The training was attended by 50 judges from the Cook County Circuit Court and DuPage and Sangamon Counties.
- Staff developed and presented two one-day trainings for Authority grantees on the revised VOCA continuation material and VOCA data reports. One training was held in our offices here in Chicago, and one in Springfield. Each training was attended by approximately 50 VOCA grantee staff members from over 75 VOCA funded programs.

### **Other Activities**

- 1) In support of the Authority's Strategic Plan, staff continues to prioritize projects and activities as well as develop strategies to best incorporate the Authority's Action Plan initiatives into unit operations.
- 2) During the reporting period, FSGU continued to operate without a full staff. Executive staff is pursuing the hiring process with expectations of returning the unit to full strength. On an individual basis, staff members have continued to handle increased grant loads, train the new employees, and perform other responsibilities, pending the filling of vacant positions.
- 3) Staff continually updates Attachment A's, fact sheets (program/fund information sheets), funding charts, and other items on the Authority's Internet and Intranet sites.
- 4) Staff is improving the public's access via the Internet to information relating to programs that receive funds from the Authority and to information about the individual grantees. This is part of an on-going process aimed at making the Authority's activities more open and transparent to the general public.
- 5) FSGU staff is continuing to work and meet with the Authority's Research and Analysis Unit as well as other state and local agencies, such as the Administrative Office of the Illinois Courts, jail-based mental health services, community-based transitional services for female offenders, and juvenile reporting centers to advance common goals and projects.
- 6) Staff has been working closely with Fiscal Management staff to close out the following federal awards:
  - A) Juvenile Accountability Block Grants (JABG) FFY 2006.
  - B) Justice Assistance Grants (JAG) FFY06.
  - C) National Forensic Sciences Improvement Act (NFSIA) FFY09.
  - D) Project Safe Neighborhoods FFY08 (Central), FFY09 (Central), and FFY07 (Northern).
  - E) Violence Against Women Act (VAWA) Rural FFY08.
  - F) Violence Against Women Act (VAWA) Sexual Assault Services Program (SASP) FFY09.
- 7) Staff continues to be involved in post-Balanced and Restorative Justice (BARJ) Summit activities, including the Illinois BARJ initiative.
- 8) FSGU staff is working with Information Systems Unit (ISU) staff to address emerging issues with the enhanced Grant Management Information System (eGMIS) and to devise new applications and uses for eGMIS.
- 9) Staff has begun Phase 2 of eGMIS development with the goal of moving the Authority's grant management activities into a paperless environment.
- 10) Staff has been heavily focused on ARRA administration, RFPs, and technical aspects of ARRA compliance.
- 11) Staff has begun preparing the 2011 VOCA Annual Report for the Office on Victims of Crime, due December 30, 2011.



**ILLINOIS  
CRIMINAL JUSTICE  
INFORMATION AUTHORITY**

---

300 W. Adams Street • Suite 200 • Chicago, Illinois 60606 • (312) 793-8550

**To:** Authority Members  
**From:** Edith Feliciano  
**Date:** November 18, 2011  
**Re:** Summary of Human Resources Activities

The following highlights the work performed by Illinois Criminal Justice Information Human Resources Unit since the last Authority Meeting.

**Recruitment, Screening and Hiring**

Electronic Personnel Action Requests (EPARs) were processed to fill funded headcount vacancies. All information, with the initiation of the personnel requisition to the final candidate selection, is posted on the system. It allows for highly accurate and efficient hire tracking while eliminating the paper transfer. The following position was process in the EPAR system Public Service Administrator 1 – Opt. 1. The following position was posted and filled on September 1, 2011, Information System Specialist II – Opt. M/SD. Public Service Admin 1 – Opt. 1/Part Time/R&A position was filled on July 1, 2011. Executive 1 (MVTP) position was filled on July 1, 2011. Submission of the Personal Service Contract Request for Review/Determination Form on the Project Coordinator for Adult Re-deploy IL to CMS. Criminal Justice Specialist 1 – Opt. 2 positions filled by three candidates two started on September 1, 2011 and the other September 16, 2011.

**Employee Benefits**

- Provide staffs with disability leave information. Processed all related Medical/Administrative paperwork and FMLA's
- Tracked ongoing leaves
- Processed several Deferred Compensation transactions
- Assisting employees with questions regarding their SERS status and/or reciprocal Benefits

**Salaries & Compensation**

- Assisted in continued bi-monthly payroll processing for 73 employees
- Issued time balance reports monthly to payroll and contractual employees
- Continued to check timesheets of federally funded employees for correct time certification reports

- Assisted Shared Services by giving documentation and communicating changes for ICJIA
- Assisted CFO with July, August, and September headcount Report.

**Equal Employment Opportunities**

- Submitted the Fourth Quarterly Report for FY2011
- Training will be ongoing for Affirmative Action Planning for our agency in order to met and attain our hiring goals

**Staff Development & Training**

- Alter staff of training available by the Department of Human Rights
- Continued to provide staff with development tools now available in library for use
- Attended ADA training on July 27, 2011
- Attended Personnel Manager meeting at JRTC

**Other Miscellaneous Projects**

- Working with all unit directors to update organization charts to submit to CMS
- Working with unit directors to identify any classification issues
- Working with CMS classifications to establish and clarify job descriptions
- Processing July, August and September annual performance evaluations on staff
- Notification of the updated employee handbook
- Attended the Labor Meeting in Springfield September 21, 2011

**Reports**

The following reports were prepared and submitted:

- Second Fourth Quarterly EEO Report for FY2011
- AFSCME July/August Personal Service Contract Report
- Weekly HR Report to Executive Director
- Submission of Exempt Vacancy Report to Governor's Office
- Affirmative Action Plan FY2012 to DHR



ILLINOIS  
CRIMINAL JUSTICE  
INFORMATION AUTHORITY

---

300 W. Adams Street • Suite 200 • Chicago, Illinois 60606 • (312) 793-8550

# Memorandum

**To:** Authority Board Members  
**From:** Mike Carter – Director IJIS & Special Projects  
**Date:** 02 Dec 11  
**Re:** IJIS & Special Projects Activity Report – Calendar Year 2011 4th Quarter

## IJIS

The following is a summary of IJIS (information sharing) related activities:

The Executive Steering Committee meeting occurred on October 5, 2011.  
The Implementation Board meeting was scheduled for October 19, 2011. This meeting was cancelled due to the inability to reach a quorum.

In August, ICJIA partnered with the University of Illinois–Chicago (UIC), College of Urban Planning and Public Affairs (CUPPA) by providing an internship to one of its students for the purpose of conducting a case study of the Winnebago County 17<sup>th</sup> Circuit Judicial Enterprise project. The case study will focus on identifying the process in which the Winnebago County project’s stakeholders followed to affect successful development and implementation of the initial concept. The case study findings will be disseminated statewide in effort to inform and guide other counties on the successful strategies used by Winnebago County, as well as, to promote the benefits of investing in an a criminal justice information sharing enterprise.

This initiative meets the ICJIA definition of research; consequently, its purpose and methods to gain knowledge (i.e. interview and document review) required a review and approval from an Institutional Review Board (IRB). In early November, the IRB advised that this case study was “exempt” from further IRB review and could continue as planned. During the period which this initiative was being reviewed by the IRB, Winnebago County agreed to meet with researchers from multiple universities, funded by the National Science Foundation, who were conducting a case study on information and communications technologies being used to support criminal justice entities. In respect to the time commitment of the Winnebago County officials, the ICJIA case study will coincide with the multi-university case study and occur in January 2012.



**ILLINOIS  
CRIMINAL JUSTICE  
INFORMATION AUTHORITY**

---

300 W. Adams Street • Suite 200 • Chicago, Illinois 60606 • (312) 793-8550

Additional activities during this report period have included:

- Hosted a presentation/demonstration by the Patriot Data Solutions Group on their open exchange information sharing technologies. Present were staff from the Illinois State Police (ISP) and ICJIA. The purpose of this presentation was to further develop the IIJIS perspective on what technologies are available to enhance information sharing.
- Hosted a presentation /demonstration by Oracle on their Automated Event Correlation Suite for information sharing technologies. Present were staff from the Chicago Police Department, Cook County Sheriff's Office and ICJIA. The purpose of this presentation was to further develop the IIJIS perspective on what technologies are available to enhance information sharing.
- Met with staff from Crowe Horwath to discuss the consultation they provided the state of Indiana in developing a proof of concept for information sharing with amongst 23 Indiana state criminal justice agencies.
- Attended the 2011 National Justice Information Systems Conference. This conference focused on Business and Technology Solutions for information sharing by highlighting some of the most successful justice information sharing initiatives across the nation. In addition, this event provided significant opportunity to network with those national practitioners and vendors engaged in information sharing technologies.
- Attended the 2011 Illinois Digital Government Summit. This summit focused on emerging and available technologies being used to enhance government effectiveness and efficiency.
- Met with professors from the University of Illinois-Chicago, Computer Engineering Department to discuss future partnerships with IIJIS related projects. The UIC staff expressed a high level of interest in partnering on the development of information exchanges, software and web based information sharing networks. Project specific discussions will take place at a later date.
- The October 20, 2011 IIJIS half-day event highlighting the Winnebago County 17<sup>th</sup> Judicial Circuit's project was postponed to date to be determined.
- Met with officials from the Department of Corrections (DOC) to discuss the development and pilot implementation of its Offender 360 records management software. Discussed DOC's future needs in further developing



**ILLINOIS  
CRIMINAL JUSTICE  
INFORMATION AUTHORITY**

---

300 W. Adams Street • Suite 200 • Chicago, Illinois 60606 • (312) 793-8550

this project into an information sharing opportunity; specifically, DOC's need to electronically receive mental health data on inmates being transferred from the Cook County Jail. Also discussed the potential of DOC participating in developing a proof of concept similar to the Indiana Data Exchange project, as represented by Crowe Horwath.

- Met with officials from the Illinois Emergency Management Agency to discuss the potential of Illinois developing a proof of concept similar to the Indiana Data Exchange project, as represented by Crowe Horwath.

### **Special Projects**

The coordination of the November 29, 2011 Symposium, titled, *The New Paradigm in Policing: Contracting, Shared Services and Consolidation* occurred throughout the duration of this reporting period. The idea for this symposium was borne out of ICJIA's 2010 *Smarter Solutions for Crime Reduction* initiative in which law enforcement executives expressed concern for future consolidations, as well as their request that ICJIA deliver high quality leadership development experiences.

The symposium features a panel of national experts with combined theoretical and practical experience in various policing models of shared services and consolidation. The panel will present their experiences on the advantages, disadvantages, opportunities, and problem solving methods for each of the posted inherent challenges with shared services and consolidation; as well as, provide an opportunity for police executives, city managers and elected officials the opportunity to make direct contact and ask questions.

At the date of this writing, registration for the symposium was closed as attendance reached the maximum number of attendees as determined by available funding. Approximately 70 agencies and a combined 130 law enforcement executives, city managers and elected officials have registered and given commitment to attend.

# Memorandum

**To:** Authority Members  
**From:** Anthony Jenkins  
**Date:** November 14, 2011  
**Re:** Information Systems Unit Report

---

Since the last report to Authority members, progress has been made in the following areas of the Information Services Unit (ISU).

## **The InfoNet System**

One hundred and eighteen (118) victim service providers use InfoNet as their data collection and reporting system. This includes 67 domestic violence programs, 37 sexual assault centers, and 14 child advocacy centers. Since the last quarterly report, the InfoNet team continued to serve its users in various ways. Some specific accomplishments during the past quarter follow.

ICJIA staff continued to provide technical assistance and training to InfoNet users. An estimated 300 technical assistance calls were responded to, and ten trainings were held since the last quarterly report. The table below displays information for each training. New Users provides hands on training for those who have little to no experience using InfoNet. Funding for Staff teaches attendees how to use InfoNet to report grant-specific data. Generating Reports provides an overview of data reports available in InfoNet followed by demonstrations of how information can be used by itself as well as with other data sources (e.g. census or crime data) for program development, identifying service needs, and programmatic tasks such as case management and staff supervision.

Date	Training	User Type	Location	Duration	# of Attendees
8/23/11	New Users	DV	Chicago	4.5 hrs	21
8/24/11	Funding for Staff	DV	Chicago	2 hrs	11
8/24/11	Generating Reports	DV	Chicago	2 hrs	18
8/31/11	Funding for Staff	SA	Chicago	2 hrs	4
8/31/11	Generating Reports	SA	Chicago	2 hrs	4
10/19/11	New Users	DV	Springfield	4.5 hrs	7
10/20/11	Funding for Staff	DV	Springfield	2 hrs	8
10/20/11	Generating Reports	DV	Springfield	2 hrs	8
10/21/11	New Users	SA	Springfield	4 hrs	8
11/9/11	New Users & Generating Reports	DV	Galena	5.5 hrs	9

Staff spent time compiling InfoNet data for two annual reports coming due to different federal agencies in the near future. Data were prepared for ICJIA's annual report to the federal Office for Victims of Crime (OVC) reflecting activities funded with VOCA victim assistance funds. Additionally, data were compiled in preparation for the U.S. Dept. of Health and Human Services for the Family Violence Prevention and Services Act (FVPSA) Report. These data reflect activity by FVPSA grantees during FFY11. Federal FVPSA funds are administered and monitored by Illinois' DHS.

Staff met with a representative from the Alliance to End Homelessness in Suburban Cook County on September 14, 2011. The Alliance provides grant funds to several InfoNet user agencies, and the purpose of the meeting was to ensure that grantees could satisfy their reporting requirements with InfoNet. Although InfoNet satisfies most requirements, a few new ones were identified that InfoNet does not collect. Ways of modifying the system to meet these new requirements were discussed, and plans to upgrade InfoNet in accordance with these new standards are underway.

Staff spent time working with research staff from the University of Illinois Springfield (UIS) in reference to the Multidisciplinary Team (MDT) Evaluation study. This ICJIA-funded study aims to assess the effectiveness of MDTs to improve locally coordinated responses to domestic and sexual violence. Staff educated the researchers about InfoNet data and assisted with their efforts to gain approval from user agency directors to use agencies' record level data for the study. UIS staff hope to analyze InfoNet data as part of this evaluation.

InfoNet staff along with other ICJIA staff have been working with the Chicago Metropolitan Battered Women's Network regarding an upcoming project aiming to collect long term outcome measures from clients who receive services from domestic violence programs. A few meetings were held during the quarter in which ICJIA's potential roles with supporting the project was discussed. It was decided that ICJIA will assist with creating the database to contain data collected from survey respondents. In addition, ICJIA staff agreed to link the resulting survey data with InfoNet client and service data. This latter step should help ICJIA and other interested stakeholders gain insight about whether or not certain client characteristics or the receipt of specific service types may affect varying outcomes for clients who receive services.

InfoNet team staff are working with other ICJIA staff to revamp InfoNet's public web site ([www.icjia.state.il.us/infonet](http://www.icjia.state.il.us/infonet)). The current site is outdated and not very user friendly. A new site structure was developed this quarter and new content is being added. The new site will be much more user friendly and visually appealing, with additional resources for users as well as other parties interested in learning more about InfoNet. It is anticipated that the new site will be available to users before the end of February 2012.

Staff continued transitioning InfoNet users to new security software. Since the security software used since 2002 (known as SmartPass) is no longer being developed and is not compatible with Windows 7, staff needed to find new software to meet InfoNet's needs. InfoNet needs software that restricts use to authorized users accessing InfoNet from

approved computers, and provides encryption services as sensitive data about victims of domestic and sexual violence transport over the Internet.

InfoNet's development staff completed routine tasks this quarter required for maintaining the system. These duties included completing daily, weekly, and monthly data backups; monitoring InfoNet's batch reporting service; responding to system errors as they occur; and testing the system for readiness and need for hardware and/or software upgrades. Following describes additional development tasks undertaken during the quarter to improve InfoNet's performance.

- 1) Draft revisions were completed for upgrading InfoNet's Management Client Income Report and are now in the final testing stage. This report is available to domestic violence programs that displays the number of clients served by user-specified income ranges and by clients' primary income sources.
- 2) A draft upgrade was completed that will display a warning message whenever a user tries to add a new case to a client record with a service date within the last year. Since new cases are only supposed to be added to clients who have not received service in at least one year, this new warning message should improve the quality of InfoNet's client data. Final testing of this upgrade has begun.
- 3) A new draft report filter was completed and is ready for final testing. This new filter will allow domestic violence program users to extract data for clients who receive any of the three types of housing services: on-site shelter, off-site shelter, and transitional housing. Currently, users can only extract client data for one of these three groups, causing them to have to add three data reports together if they want a sum for all three. The new filter will alleviate this burdensome step.
- 4) A new draft report known as the Client Service Outcome Report was completed and is ready for final testing. Client service outcome data is collected from clients via anonymous surveys. The survey responses are collected by users and subsequently entered into InfoNet. This new report will provide summary data for these survey responses by agency and aggregated statewide or by region.
- 5) A draft fix was completed that will make it easier for users to review and approve reports generated by funding agencies such as the Illinois Coalition Against Domestic Violence (ICADV), the Illinois Coalition Against Sexual Assault (ICASA), and the Illinois Department of Human Services (DHS). Testing of this fix has begun.
- 6) Work has continued with converting InfoNet's Management Report selection screen to the more recent .NET technology. Once this conversion is completed, all of InfoNet's pages will be running on the same coding language, thereby improving overall system performance and reducing errors.

## **Systems Support:**

Systems support continues its technical support to the agency internal and external users along with the support of the agency local and wide area networks. Maintaining, upgrading and updating the existing systems remain the top priority. Technical resources are being used to troubleshoot servers, computers and other network peripherals as needed. The agency systems, CLARIS, InfoNet, GMIS, and Web Services are being monitored and backed up. CLARIS reports are being generated on a weekly basis and the results provided to the Claris staff.

The Authority's Help Desk Remedy program is a problem request tracking system. It allows the Authority to track information about itself as well as internal and external requests placed upon our technical support staff. This information is tracked using various Remedy applications. Total number of Remedy Tickets for this quarter is five hundred and two.

Currently the Authority house over 30 Servers at the JRTC building, on July 9<sup>th</sup> a new breaker panel was added to the computer room at the JRTC center, this required a thirty min interruption of power from the UPS system. Authority staff member's shutdown the nonessential servers remotely between the hours of 6 pm thru 8 pm, at 1 am the staff shutdown the productions systems. CMS staff that were located at the JRTC then powered off the Servers, at 2 am the powered was restored, and the CMS team powered up ICJIA's servers. ICJIA staff verified that all systems were up and running. The nonessential systems were down for approximately 7 hrs, and the productions server Infonet, Claris and the Web Servers, were down for approximately 1 hr.

## **Software:**

File Access problem was repaired by the ISU tech support team. The Fiscal staff was having problems accessing their Excel files from network drives; this problem was created by a new Microsoft patch called Office File Validation Add-in. The Add-In program reads the Excel file to determine whether the file is trustworthy before it opens the file. When this is done over the network it reduces performance because of the network traffic when reading in the parts of the workbook. The fix for this problem was to disable the Microsoft Office File Validation Add-In, and upgrade staff members to Office 2007.

## **Hardware:**

Infonet SQL database server crashed on Aug.10<sup>th</sup> at 2:10 am, due to a RAID (redundant array of independent disks) hardware failure. Staff had to rebuild the disc container which is the entire Raid system. This is a very rare failure; staff restored the Infonet Backup production database to our backup SQL server, and then switched users to the backup system. Total downtime was 24hrs. We have modified and streamlined our backup procedures; the new procedures will reduce the time it takes to switch users over to our backup system significantly.

The Authority is requesting to purchase six (6) HP 500GB 3G SATA 7.2K rpm SFF (2.5-inch) Midline drives. These drives will house extensive data holdings that R&A will be placing on its new Statistical Analysis Center (SAC) website. The actual project name for the data portion of the website is named CJ DataNet.

The disk system uses inexpensive SATA technology, and takes advantage of the recent release of 500 GB disks. In FY10, staff continued to develop updated maps, charts, and useful facts on CJ DataNet. Updated topic areas include crime trends for violent and property offenses, drugs seizures, drugs submitted for testing at Illinois State Police labs, drug treatment admissions, arrest trends for violent, property and drug offenses, probation sentence statistics, juvenile data, and data on special topics, such as domestic violence and elder abuse.

### **Applications:**

### **Web Development:**

Our Webmaster and his staff continue to update the Authority's ISU Tech Notes Resource Center on our intranet site, this section highlight useful computer related resources and tips, and tries to demystify technology and help people understand the new gizmos, and procedures.

Staff is currently working to revise the Adult Redeploy website for IIJIS. The OPI, and IIJIS Managers are working on a logo, and incorporating the existing content for the IIJIS site into the new site. Staff is working on the back-end code to pull the existing IIJIS content from the website content database into the new site.

Staff members are reviewing our Publication section on the Authority's main website for publications that were posted as MS Word document, these documents need to be converted to PDF format documents, there is a concern that MS Word documents could possibly be plagiarized by website visitors.

Staff members are current working with the software package called SurveyGizmo, this is an online survey software solution that provides a variety of features to help in conducting a multitude of tasks including advanced market research, quick polling and quizzing, embedding forms into websites.

### **SurveyGizmo Online Forms:**

Staff created and launched the following SurveyGizmo forms:

Track Confirmation of INFONET Users who registered for INFONET Training Session scheduled for October 2011. The SurveyGizmo form can be viewed at:  
<http://www.surveygizmo.com/s3/665230/INFONET-Training-Registration-October-5-2011>.

Staff has created and launched a SurveyGizmo survey for the Research and Analysis Unit the Crisis Intervention Team (CIT) for Youth Evaluation Pilot Test survey, the survey can be viewed at:

<http://www.surveygizmo.com/s3/621080/Illinois-Criminal-Justice-Information-Authority-CIT-for-Youth-Evaluation-Pilot-Test>.

Staff has created and launched the Death in Custody Reporting Survey on SurveyGizmo for the Research and Analysis Unit. The survey can be viewed at:

<http://www.surveygizmo.com/s3/625824/DCRP-Coroners>.

Staff has created and launched the SurveyGizmo Registration Form for the upcoming ICJIA Symposium: The New Paradigm in Policing: Contracting, Shared Services, and Consolidation, the survey/registration form is located at:

<http://www.surveygizmo.com/s3/652798/ICJIA-2011-Symposium-on-The-New-Paradigm-in-Policing-Contracting-Shared-Services-and-Consolidation>.

### **Nefsis Video Conferencing:**

Nefsis is an internet based video conferencing service that is used to create information and general presentations, online training materials, web conferencing, learning modules, and user desktop sharing applications.

Staff is currently developing INFONET User Trainings, Research and Analysis Unit trainings for other related projects, and other agency related on-line applications.

### **CJ Dispatch and other E-mail Distribution Lists:**

CJ Dispatch is an e-mailing that we send out to subscribers to announce and highlight ICJIA publications, Request for Proposals for grants that ICJIA administrates, and other newsworthy items.

Staff has purchased a subscription to an online e-mail marketing service from Constant Contacts (<http://www.constantcontact.com/>). Staff will create additional distribution list templates for Infonet News and other agency related distribution list.

Created a Constant Contact Subscriber Webpage for web visitors to subscribe to CJ Dispatch through the ICJIA Public website at:

<http://visitor.r20.constantcontact.com/d.jsp?llr=srncpkfab&p=oi&m=1105231689266>

### **MARS (Motor Vehicle Automated Reporting System):**

**MARS** is a web-based data collection and reporting system used by the Motor Vehicle Theft Prevention Council. This system allows the Motor Vehicle Theft Prevention Council to track the progress of motor vehicle Theft prevention Task force throughout the

state which is funded by grants administered by the MVTPC in making arrests, recovering stolen vehicles, and preventing motor vehicle theft and insurance fraud.

**eGMIS (Enhanced Grants Management Information System):**

eGMIS is a web-based data collection system used to administer and track grants and other procurement actions. eGMIS acts as a planning tool and as a data management tool. eGMIS keeps track of grant-specific information such as:

- Fiscal information.
- Data collected from grantees reports detailing program activities/effectiveness.
- Grantee contact information.
- Grant/grantee tasks and due dates.

Information from eGMIS is used for mandatory Reporting to the U.S. Dept of Justice.

Staff is working on adding more security for the data entry on the Budget & Expenditure page: each Federal Expenditure item Personnel, Equipment, Commodities, Travel, Contractual and Others, must be not more than 110% of the correspondent Federal Budget item.

**CLARIS (Clandestine Lab Reporting Information System):**

Programming staff continues to support the applications/databases code and repair problems as they arise.

Clandestine Lab Reporting Information System (CLARIS) is a web-based data collection system for reporting and analysis of methamphetamine lab seizure data used mainly by law enforcement agencies in Illinois. With CLARIS, remote users access the program and centralized database at the Authority using a Web-browser.

CLARIS is used by the Illinois Meth Response Teams and other drug enforcement groups who perform methamphetamine lab seizures. Data collected is submitted to Illinois State Police for analysis. Agencies also use CLARIS to file the required EPIC report, and to perform local monthly and annual statistical tabulations

The data will be useful in determining, among other criteria, the types, numbers, and locations of laboratories seized; manufacturing trends; precursor and chemical sources; the number of children and law enforcement officers affected; and investigative leads. The data may also be useful to agencies in justifying and allocating current or future resources.

Clarix Incidents are submitted to the designated Department of Justice (DOJ) receiving agency (El Paso Information Center – EPIC), the total Clarix Transmissions for this quarter is: 110.



ILLINOIS  
CRIMINAL JUSTICE  
INFORMATION AUTHORITY

---

300 W. Adams Street • Suite 200 • Chicago, Illinois 60606 • (312) 793-8550

# Memorandum

**To:** Authority Members  
**From:** Hank Anthony, Associate Director for Administrative Services  
**Date:** November 17, 2011  
**Re:** OAS Activities

---

The Office of Administrative Services continues to support the day to day activities of the Authority to include: mail operations, supply room operation, security, reception, procurement of goods and services, vehicle maintenance, telecommunications coordination, property inventory control, Authority database maintenance, internal staff moves and travel and conference coordination activities.



**ILLINOIS  
CRIMINAL JUSTICE  
INFORMATION AUTHORITY**

---

---

300 W. Adams Street • Suite 200 • Chicago, Illinois 60606 • (312) 793-8550

# Memorandum

**To:** Authority Board Members

**From:** Lisa Stephens, General Counsel

**Date:** November 15, 2011

**Office of the General Counsel Report for the December 2, 2011 Authority Board Meeting**

---

This memorandum highlights significant events and the work performed by the Office of General Counsel since the last OGC Report.

**Legal Advice to Authority Staff and Grant Review, etc.**

The staff of the Office of General Counsel continues to perform its role as legal advisor to the staff of the Authority and to provide legal review of grants and related documents, contracts, and the like. Since the last Authority meeting, OGC has reviewed approximately 139 grant interagency agreements, amendments and revisions, grantee contracts with vendors, sub recipients, procurement documents, etc.

OGC staff continues to keep abreast of all the ARRA requirements and attend all relevant ARRA related meetings.

OGC staff continues to make periodic and necessary modifications to the Authority form agreements and related documents in order to conform to changes in federal and state law, regulations and good practice.

OGC staff continues to regularly review Authority publications prior to release for potential legal issues.

As part of OGC's responsibilities, staff members researched a number of topics for Authority staff and provided legal advice.



**ILLINOIS  
CRIMINAL JUSTICE  
INFORMATION AUTHORITY**

---

---

300 W. Adams Street • Suite 200 • Chicago, Illinois 60606 • (312) 793-8550

**Motor Vehicle Trust Fund Suit**

The Property and Casualty Insurance Association of America (PICCA) filed a suit in the State of Illinois in 2006. The Governor and other top Illinois State Officials (the State) are named as defendants. The suit asks for the return of over \$6 million of monies removed from the fund and for an injunction barring further removal of funds from the Trust Fund.

An agreed court order between the plaintiff and defendants was entered on June 6, 2006. The State agreed that no money would be withdrawn from the fund and the plaintiff agreed to withdraw its motion for preliminary injunction.

The defendants filed a motion to dismiss all counts of the complaint which was heard and denied in October 2006. The plaintiffs filed a motion for summary judgment which was denied in January 2008. Another similar case, A.B.A.T.E of Illinois v. the State (ABATE) stayed the legal proceedings of PICCA and there are no legal proceedings proposed or undertaken to date.

In A.B.A.T.E. (ABATE ) of Illinois v. Illinois State officials ( the State), a group of motorcyclists challenged the transfer of money from the Cycle Rider Safety Training Fund to the General Revenue Fund pursuant to the Fiscal Year 2004 and Fiscal Year 2005 Budget Implementation Acts. The trial court rejected the ABATE's constitutional and statutory challenges and granted a motion of summary judgment for the State. ABATE filed an appeal with the Illinois Appellate Court, Fourth Judicial District. The appellate court affirmed the judgment of the trial court in granting the motion of the State for summary judgment. On October 27, 2011, in a 6-to -1, decision the Illinois Supreme Court upheld the Illinois Appellate Court and the Sangamon County Circuit Court ruling backing the Governor and the Legislature's ability to sweep funds.

The Office of the General Counsel of the Illinois Criminal Justice Information Authority (OGC) states that the ABATE decision may not significantly impact the PCIAA litigation because the statute section of the Illinois Motor Vehicle Theft Prevention Act that creates the Motor Vehicle Theft Prevention Trust Fund is substantially different than the statute in the ABATE case. OGC further states that the Supreme Court ruling in ABATE will not likely end the PCIAA litigation.



## ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY

---

---

300 W. Adams Street • Suite 200 • Chicago, Illinois 60606 • (312) 793-8550

### **Legislation**

Update:

SB 1040 - a bill introduced by Sen. William Haine and Sen. Kirk Dillard, sought to amend the Sex Offender Registration Act in a manner that would make Illinois compliant with the requirements of the federal Adam Walsh Act. This bill was re-referred to the Senate Assignments Committee in July 2011 and was not addressed in the General Assembly's veto session. ICJIA is monitoring Illinois' Adam Walsh Act compliance.

HB 1253 – a bill initially introduced by Rep. Deborah Mell, was signed into law as Public Act 97-0578 on August 26, 2011. HB 1253 deals with an amendment to the Sex Offender Registration Act (retroactivity of registration requirement) and its enactment brings Illinois closer to the full compliance with the federal Adam Walsh Act.

HB 2056 – EPA Household Pharmaceuticals – a bill to create grant funds to assist law enforcement agencies in disposing of unused prescription medications. – was passed and signed into law.

HB 1948 – Government Surveillance Camera – a bill to create a database of all government controlled surveillance cameras in Illinois (to be done by ICJIA) received an amendatory veto by the Governor.

Legislative Agenda for Spring 2012 Session – OGC staff with Governor's legislative staff to discuss ICJIA's legislative initiatives. ICJIA's Spring 2012 agenda includes; (re)introduction of FOID legislation to make Illinois laws compliant with ATF requirements for appealing denials based on mental health issues, FOIA legislation (introduced this session as SB 2285) to close loopholes in FOIA, seeking appropriations for the Death Penalty Abolition Fund, the Prescription Drug Disposal Fund and Crime Stoppers Fund.

### **Requests for Information**

The OGC responded to 10 Freedom of Information Act Requests and a variety of general requests for information.

### **Meetings, Boards and Conferences**

Associate General Counsel, Junaid Afeef, is working with the Illinois Motor Vehicle Theft Prevention Council providing advice and counsel generally. Junaid attended the MVTPC Grant Review Committee meeting where he participated in



**ILLINOIS  
CRIMINAL JUSTICE  
INFORMATION AUTHORITY**

---

---

300 W. Adams Street • Suite 200 • Chicago, Illinois 60606 • (312) 793-8550

reviewing and recommending grant applications to be approved by the MVTPC. Junaid drafted ICJIA's Spring legislative proposals to present to the Governor's office and participated in weekly public safety team calls with the Governor's office of legislative affairs. Additionally, Junaid attended the annual VOCA conference with the grant supervisor.

Associate General Counsel, Simeon Kim, continues to lead the criminal offense index project where OGC compiled an index of all criminal offenses and sentences in Illinois and is searchable on our public website. He also participated in the drafting and editing of the Adult Criminal Walkthrough publication. Simeon continues his support on the Kane County Risk Assessment tool.



ILLINOIS  
CRIMINAL JUSTICE  
INFORMATION AUTHORITY

300 W. Adams Street • Suite 200 • Chicago, Illinois 60606 • (312) 793-8550

## Memorandum

**To:** Authority Board Members  
**From:** Cristin Monti Evans, Public Information Officer  
**Date:** November 17, 2011  
**Re:** Office of Public Information Report for the December 2, 2011, Authority Meeting

---

The Office of Public Information (OPI) designs, edits, and publishes the Authority's written materials, including press releases, the agency's annual report and other publications such as *Research Reports*, *Research Bulletins*, and *Program Evaluation Summaries*. OPI staff also responds to information requests from the public, the media, and others.

**Publications.** OPI staff edits, designs, and publishes online a variety of ICJIA publications. This quarter, OPI:

- Completed the *Get the Facts* series. *Get the Facts* is a two-page Q&A fact sheet that answers frequently asked questions from the public on a variety of adult and juvenile criminal justice topics.
  - Completed edit and design of *Get the Facts* documents::
    - *Get the Facts* – Criminal justice system: Pretrial process
    - *Get the Facts* – Criminal justice system: Courts

Now available online are:

- *Get the Facts* – Criminal justice system: Criminal records
- *Get the Facts* – Criminal justice system: Arrests
- *Get the Facts* – Criminal justice system: Pretrial process
- *Get the Facts* – Criminal justice system: Courts
- *Get the Facts* – Criminal justice system: Corrections
- *Get the Facts* – Criminal justice system: Victims' rights
- *Get the Facts* – Juvenile justice system: Juvenile records/expungement
- *Get the Facts* - Juvenile justice system: Pretrial
- *Get the Facts* - Juvenile justice system: Arrests
- *Get the Facts* - Juvenile justice system: Courts

- *Get the Facts* - Juvenile justice system: Detention
- *Get the Facts* - Juvenile justice system: Corrections
- Edited *Research Report*, “Policies and Procedures of the Illinois Criminal Justice System,” written by ICJIA Senior Research Analyst Jessica Reichert, Research Analyst Lindsay Bostwick, and ICJIA associate general counsels Junaid Afeef, and Simeon Kim.
- Edited *Research Report*, “Examining multi-jurisdictional drug task force operations in Illinois,” written by Jessica Reichert, ICJIA Senior Research Analyst.
- Edited *Research Report*, “Preliminary evaluation findings of the River Valley Detention Center’s mental health program,” written by ICJIA Research Analyst Rebecca Campbell.

**Other activities.** OPI also:

- Continued development and planning with webmaster of redesigned ICJIA website. These efforts included:
  1. Coordination of design, and content development and compilation of the Research & Analysis Unit’s Statistical Analysis Center site.
  2. Homepage and overall site design assistance and content development based on staff input.
  3. Weekly editing of new site content.
- Drafted and submitted to Governor’s Press Office for review press releases:
  - *Illinois receives \$\$790,000 for criminal justice-related forensic science initiatives and improvements*
  - *Illinois receives grant to provide substance abuse treatment programs for adult and juvenile inmates*
  - *Illinois receives \$4.9 million federal grant to assist victims of domestic violence and sexual assault*
- Initiated planning assistance and material development for ICJIA symposium, including posters, bios, flash drive content, and letterhead design.
- Continued planning and content development for IJIS web portal.
- Initiated planning and content development for InfoNet web portal.
- Completed design and content development for survivors of homicide victims site.

- Edited Research Report Development Training presentation for staff, developed by ICJIA Senior Research Analyst Jessica Reichert.
- Collaborated with victim services staff to draft victims' services component of ICJIA strategic plan.
- Utilized social media outlets to publicize ICJIA resources, publications, research, requests for proposals, and other initiatives.
- Completed edits to 2011 ICJIA Employee Handbook.
- Designed template for and introduced new CJ Dispatch, created with-mail program Constant Contact to more effectively and efficiently disseminate ICJIA news and announcements.



**ILLINOIS  
CRIMINAL JUSTICE  
INFORMATION AUTHORITY**

---

---

300 W. Adams Street • Suite 200 • Chicago, Illinois 60606 • (312) 793-8550

**To:** Authority Members

**From:** Mark Myrent, Associate Director  
Research and Analysis Unit

**Date:** November 14, 2011

**Re:** Research and Analysis Unit Report for the December 2011 Authority Meeting

---

This memorandum highlights some of the work performed by staff of the Research and Analysis Unit since the Authority's last quarterly meeting.

**I. NEW PROJECTS**

**A. St. Leonard's Ministries Program Evaluation**

St. Leonard's Ministries operates St. Leonard's House (SLH) which provides housing for adult men exiting prison and Grace House (GH) which provides housing for adult women exiting prison. They are both voluntary, transitional housing programs with an average stay of 120-180 days. The evaluation has three main components—outcomes for residents compared to a control group, interviews with residents, staff, and stakeholders; and participant observations. This evaluation help educate policy makers about the potential benefit of long-term, structured reentry programming for formerly incarcerated men and women. A series of 3-4 reports will be published in from 2012-2014.

**B. Adult Prisoner Criminal History Analysis Tool**

The Authority received a \$73,000 grant from the Bureau of Justice Statistics to develop a web-based "Adult Prisoner Criminal History Analysis Tool", that will enable users to explore the various patterns of Illinois prisoners' prior criminal history and how that relates to their prison term. In the future, the data merge will allow users to more effectively track recidivism of offenders following their release from incarceration. Project work has begun now that IRB approval has been obtained.

**C. Inventory of State Agency Employment Restrictions on Persons with Criminal Records**

The Task Force on Inventorying Employment Restrictions Act (20 ILCS 5000) requires that all state agencies review their statutes, administrative rules, policies, and practices that restrict employment of persons with a criminal history, and to produce a report to the Task Force. The Task Force itself is

charged with reporting to the Governor and the General Assembly those employment restrictions and their impact on employment opportunities for people having those criminal records. Through staffing assistance provided by the Authority, all state agencies are to describe restrictions for employment within the agency; in facilities licensed, regulated, supervised, or funded by the agency; and in occupations that the agency licenses or provides certifications to practice. Agencies are required to report, among other data, specific disqualifying offenses delineated in these statutes, rules, and policies - and time limits for each offense. If the agency is afforded discretion in determining disqualifying offenses, the criteria used by the agency must be reported (for example, whether disqualifying offenses are related to the practice of a given profession, considered an act of “moral turpitude”, or one that calls into question “good moral character”). Agencies are also required to describe any exemptions, waivers, or review mechanisms available to individuals to seek relief from disqualification. In addition to this reporting for all agencies, executive agencies are required to participate in an accounting of actual applicants who underwent criminal background checks, the number found disqualified, the number who sought waiver, those denied waiver.

The final report to the Governor and the General Assembly will be due in September 2012. Ahead of the first Task Force meeting, Authority staff met with the Task Force Chairman, Jeffery Shuck, deputy director of CMS, to become familiar with the various provisions of the bill and the associated tasks that are to be performed. Appropriate contact information for all state agencies was compiled, as well as a preliminary listing of the state job titles within each agency.

#### **D. Statewide Juvenile DMC assessment**

Staff are partnering with the DMC subcommittee of the Illinois Juvenile Justice Commission to develop a statewide DMC assessment to determine where minorities in the juvenile justice system are disproportionately represented. All nine decision points will be scrutinized. In-house data will be used along with data gathered manually at the local level in 41 targeted counties to determine where in Illinois disproportionality is highest. As part of the technical assistance being given to the Juvenile Justice Commission on this project, staff will analyze TRACKER (juvenile probation case management system) data from 19 of the 41 target counties, in an attempt to maximize use of a standardized electronic data source that potentially collects data on most of the criminal justice decision points. The full report from the Juvenile Justice Commission is due to OJJDP March 2013.

#### **E. Drug Task Force Research**

ICJIA staff have reviewed the literature concerning drug task forces (Metropolitan Enforcement Groups and Task Forces), and found that much is still unknown about their processes and outcomes. This research will allow us to learn more about the operations of these units in Illinois - specifically what and how information is used to develop strategic priorities. Besides informing the field and advancing the literature on drug task forces staff hope to identify the core components of program success. That information will be used to inform ICJIA’s grant review and grant administration process for this program area. Participants will be drawn from all ICJIA-funded drug task forces, and participation will be voluntary. There will be structured questions based on the nine research questions. The questions address the development of drug task forces’ goals, objectives, and strategic

priorities; identification of problems and needs in the community; the ways in which program effectiveness or success are measured; collaboration with local police departments, probation, parole, and service providers; changes to task forces in the past ten years; and advice for other drug task forces or for agencies wanting to start a task force. A summary of the focus group responses will be shared with the task force officials and published on the web. The Institutional Review Board approved this research in February 2011. Two focus groups were held in June in Chicago and Springfield. A draft of the final report is in review. A final report is expected to be completed by Winter 2011.

#### **F. Anne's House Program Evaluation**

ICJIA staff will complete a process evaluation of Anne's House during two years of program operations. The residential home, operated by Salvation Army, can accommodate up to eight women and girls aged 12-21 years old who are domestic (non-international) victims of commercial sexual exploitation. The home provides a safe nurturing residential environment along with long-term trauma treatment, life skills training, spiritual support, education, anger management, money management, and recreational activities. The evaluation will describe the program's services and its participants, as well as gain input from staff.

There will be five components to the research: Component 1 will be a description of the program and its participants; Component 2 will be case file review of program participants; Component 3 will be a client satisfaction survey; Component 4 will be interviews with adult program staff; and Component 5 will be a description of similar homes around the country. The Institutional Review Board approved the research in June 2011. A final report is expected to be completed by Summer 2013.

#### **G. Juvenile Redeploy Illinois Annual Report, 2010**

Staff from ICJIA will be analyzing juvenile justice data to complete the Juvenile Redeploy Illinois annual report to be submitted to the Illinois General Assembly. Arrest, detention, other court data, and IDJJ data will be used to identify trends across Illinois that may be influenced by the Redeploy Illinois programs already in place. Publication of the report is slated for March 2012, with accompanying data made available for additional analysis on the Authority's website: [www.icjia.state.il.us](http://www.icjia.state.il.us).

#### **H. Kane County State's Attorney Standardized Decision Making Tool**

The Kane County State's Attorney approached R&A to develop an evidence-based risk, asset, needs assessment to help inform plea decisions. Staff met with the State's Attorney to identify the primary factors for determining plea decisions. Staff are now in the process of working with the State's Attorney and his staff to pilot test and implement the tool.

Authority staff is working closely with the state's attorney's office (SAO) to monitor implementation on a number of levels. First, the SAO determines the "weights" given to each risk, asset, and need

factor to appropriately score the tool. Secondly, the SAO will pilot test the tool to determine its efficacy. Authority staff will then meet with the SAO to reframe the tool as necessary, first monthly, then periodically over a 12-month period. An overarching objective is to offer the tool to other state's attorneys in Illinois.

During this quarter, Authority staff continued to oversee the initial pilot phase of the project. Data from approximately 600 state's attorney cases from 2007 are being collected for use in the development of the actual standardized decision tool. The relative weights computed for the various variables will be used to initially validate the tool for prospective use. These weights and scores will be recalibrated as necessary over the next 12 months, so that it conforms to local conditions in the county.

### **I. Criminal History Record Information (CHRI) 2010 Audit**

The unit's Criminal History Record Information (CHRI) Audit Center is a Justice Assistance Grant (JAG) funded in-house effort to continuously examine the accuracy, completeness and timeliness of the criminal history record information reported to the state repository maintained by the Illinois State Police, and to recommend strategies for improvement.

Preparations for the 2011 CHRI audit have begun. The focus of the audit will be on court disposition reporting, since accurate and complete conviction information is a key component of decisions within the criminal justice system and an increasing number of decisions outside of criminal justice (employment, housing, student loans, and so on).

During this quarter, audit staffing issues were addressed. A full proposal for the 2011 CHRI Audit will be presented to the State Police in December, with work to commence thereafter.

## **II. CURRENT PROJECTS**

### **A. Program evaluation and research projects/ internally funded**

The R&A Unit pursues a program evaluation and research agenda through an in-house Justice Assistance Grant (JAG)-funded grant program. Evaluation and research efforts conducted, supported, or monitored by R&A staff include:

#### **1. Adult Redeploy Illinois Utilization-Focused Evaluation**

The Crime Reduction Act (Public Act 96-0761 effective on January 1, 2010) establishes the Adult Redeploy Illinois program, which provides financial incentives to local jurisdictions for designing community-based programs to treat offenders in the community instead of sending them to state prisons. Under the Act, financial incentives will be offered to counties/groups of counties/judicial circuits to increase programming in their areas, in exchange for reducing the number of people they send to the Illinois Department of Corrections (with penalties if they do not meet the reduction goal).

The goal of Adult Redeploy is to establish pilot sites to increase access to community-based services and decrease commitments to the Illinois Department of Corrections. In Phase I, counties or judicial circuits craft local program plans that specify how to reduce commitments of non-violent offenders to prison and implement these plans in Phase II. The counties or judicial circuits must negotiate an agreement with the Adult Redeploy Illinois Oversight Board (AROIB) to limit the number of Adult Redeploy Illinois eligible commitments from that area to 75% of the average number of commitments of the three previous calendar years.

ICJIA Research and Analysis Staff continue to work closely with the AROIB and IDOC and IDHS staff to track the policy implementation process and to provide relevant technical assistance toward ensuring the program model aligns with the language describing the initiative in the Crime Reduction Act.

During this quarter, staff continued to provide ongoing data-collection-focused technical assistance for the five current pilot sites. Staff has conducted ongoing support with the customizable database for data collection, including making changes and additions as requested by the pilot sites and providing training on new additions. Staff has also conducted site visits to examine the progress of the sites towards their implementation goals, and to gain a broader understanding of how the programs operate.

As of October 2011, five new sites have been awarded Adult Redeploy grants to provide prison diversion programming. The new sites include Fulton County, McLean County, Madison County, Winnebago County, and Cook County. Staff has begun to conduct stakeholder interviews with these sites, and will be offering training and support for data collection as enrollment begins. These new sites are expected to be enrolling clients by mid-November, with Cook County expecting to start enrollment in January 2012.

## **2. Illinois Criminal Justice Information Authority Strategic Planning Initiative – Summit Follow-up**

On September 22-23, 2010, ICJIA staff convened a large group of criminal justice professionals, lawmakers, and policymakers from across Illinois at the Criminal Justice Planning Summit. At this event, participants reviewed current challenges in the adult justice system based on the experiences of policymakers, practitioners, and others in the field, and on the latest research. They also identified priorities for a statewide strategy for criminal justice policy, funding initiatives, and justice research in the coming years. This effort is the first since 2001 to create and implement a strategic criminal justice plan for the state of Illinois. Several panelists, from both Illinois and across the country, presented strategies from law enforcement, courts, probation, and community members that can potentially be adopted within local Illinois jurisdictions.

ICJIA partnered with several key state and national criminal justice and human services agencies to gather information and guide planning for the summit, including the Illinois Department of Corrections, Illinois Department of Juvenile Justice, Illinois Department of Human Services, Illinois State Police, Illinois Department of Child and Family Services, and the National Criminal Justice Association, Justice and Research Statistics Association, and Pew Research Center. Other partners include representatives of the state's mental health, law enforcement, judicial and court services, and criminal justice associations.

Staff completed several fact-finding strategies to pinpoint critical criminal justice issues and the most effective and efficient evidence-based policies, practices, and programs in Illinois and across the nation. These include reviewing existing statewide strategic plans from around the country to identify areas for potential replication and lessons learned, interviews with state justice and human service association leaders to solicit information about critical issues facing their communities, and workgroups with representatives of the academic and policy advocacy communities. Staff also collected existing needs assessment data, reports, survey findings, and other information reflective of current justice trends in Illinois that could be used to support the development of the summit agenda and the strategic plan. In the end, this initiative will result in a coordinated statewide, data-driven strategic approach to crime reduction and justice system investment.

The Authority hosted five working meetings with over 140 stakeholders from all sectors of the justice and human services fields to review the draft plan resulting from the Summit. The five groups began identifying action steps for programs and practices, professional development, public policy, and research and evaluation that will become the guiding document for the Authority's activities, and will be offered as recommendations to other justice-related agencies in the state as well.

The draft of the strategic plan is currently being reviewed internally and by state partners. The goals, objectives, and action steps pertain to both local and state-level stakeholders, and include the need for program grants, professional development, changes in public policy, additional research, and/or enhancements to information technology.

In accordance with the overriding theme of *creating smarter solutions for crime reduction*, the plan supports programs, practices, and policies that are multijurisdictional and multidisciplinary;

promising or evidence-based; and support professional development and training. It is expected to be released in early 2012.

### **3. Survey of Balanced and Restorative Justice (BARJ) Programs**

The Authority has supported Balanced and Restorative Justice (BARJ) programs in the juvenile justice system for several years by sponsoring BARJ trainings for police, prosecutors, detention centers and probation departments, as well as service providers and school officials. Staff have also produced several BARJ guides that contain detailed instructions for incorporating BARJ principles into the operations of these agencies and institutions. This project is a continuation of that effort. The survey will create an inventory of restorative justice practices in Illinois and measure the extent to which agencies have incorporated components of restorative justice into their programming. The online survey closed on May 13, 2011. The final report has been written and is under internal review. This report is expected to be finalized in December 2011.

### **4. Assessment of First Defense Legal Aid**

In December of 2010, staff began working with the First Defense Legal Aid (FDLA) program, a non-profit that provides education on individual rights at arrest and legal representation at interrogation, to provide technical assistance and basic outcome evaluations for these services. The project has been divided into multiple sections.

The first, providing technical assistance in examining the efficacy of the StreetLaw program, received IRB exemption in December and was started in January. StreetLaw is a program whereby lawyers volunteer to go into Chicago Public Schools classrooms or community group meetings and provide a basic lesson on how to interact with police officers when stopped for questioning, including individual rights and responsibilities in such situations. Students are given a test before the lesson to gauge their baseline level of knowledge of the subject matter, and then given the same test after the lesson to determine how much was learned during the presentation. As well, staff members in these classrooms and community groups were given a survey to determine their level of satisfaction with the presentation, and provide feedback for FDLA. Both the test results and the survey results were examined by staff, and the analyses were presented to FDLA in January.

The next phase examined the efficacy of FDLA's station representation program, which utilizes on-call volunteer lawyers to provide legal counsel during the interrogation of an arrestee. The service is provided free to the individual, and requires either the arrestee or a friend or family member to call FDLA and request representation. Staff has examined records kept by the volunteers and entered into a database maintained by FDLA compiled the findings. Staff submitted the initial findings to FDLA in May 2011. Staff continue to work with FDLA to use their data to inform and evaluate their programming.

### **5. Sheridan Correctional Center National Drug Prison and Re-entry Therapeutic Community Recidivism Study**

The goal is to evaluate the post-prison outcomes for graduates of Sheridan by interviewing re-incarcerated graduates of the Sheridan program and learning the factors that contribute to a Sheridan

program graduate's failure at re-entry. Failure includes a return to drug use or other technical violations, or committing a new crime. Data will be collected through 50 face to face interviews with successful graduates of the Sheridan who have been re- incarcerated since their release from Sheridan. The information will allow for a better understanding of what happens with Sheridan graduates after release in order to offer recommendations to improve the effectiveness of the Sheridan program.

Opened in January 2004, Sheridan Correctional Center is a medium security prison operated by the Illinois Department of Corrections (IDOC), which uses a Therapeutic Community model. Every inmate at Sheridan participates in substance abuse treatment. Before enrolling in the program, inmates agree to participate in the in-prison therapeutic community and 90 days of community substance abuse treatment (aftercare) as a condition of their parole. A successful Sheridan graduate includes anyone who completes the in- prison portion of the Sheridan program and is released from Sheridan Correctional Center on Mandatory Supervised Release. This study enhances prior research on Sheridan by providing quantitative and qualitative information from former participants themselves. This information could then be used to enhance programming both internally at Sheridan Correctional Center and externally with partner agencies and parole in order to improve a Sheridan graduate's re- entry experience. A draft of the report is in review and the final report is expected to be completed by Winter 2011.

#### **6. National Alliance on Mental Illness (NAMI)/Chicago Police Department's (CPD) Crisis Intervention Team for Youth (CIT-Y) Training Evaluation**

The Chicago Police Department (CPD) established its Crisis Intervention Team (CIT) in 2004 to address the high prevalence of mental illness among incarcerated adults. All CIT members volunteered to attend a 40-hour training to become certified. The purpose of the CIT-training is to teach law enforcement officers how to identify signs and symptoms of mental illnesses, exercise skills to defuse crisis situations, and make dispositions that best serve individuals' needs. In May 2009, the Chicago Police Department (CPD) and the National Alliance on Mental Illness (NAMI) held its first advanced CIT training on addressing mental health issues among the youth population. ICJIA research and analysis staff is currently evaluating the extent to which the advanced training curriculum is helpful for officers, as they respond to situations involving youth experiencing mental health crises. The evaluation project was approved by the Authority's Institutional Review Board (IRB) in June 2010 and will be completed by June 2013. Each year of the three-year evaluation project will have specific objectives guided by the previous year's findings. A report will be completed for each year's findings and all findings will be conveyed to the Youth—CIT training staff in a way that allows them to improve and enhance their training practices.

Year 1 of the evaluation project began shortly after IRB approval and had the following objectives: (1) measure officer pre-and post-training knowledge; (2) measure officer retention of the training material; (3) measure officer satisfaction with the training; (4) measure officer intention to use the training material; and (5) measure officer use of the training curriculum in the field. Year 1 of the evaluation project was completed in November 2010. Initial findings were presented to NAMI/CPD CIT-Y training staff in February 2011. ICJIA research and analysis staff anticipates the report of Year 1's findings to be published by Winter 2011.

As this is an accumulative evaluation, Year 2's evaluation objectives were guided by Year 1's findings. Year 2 of this evaluation project aims to (1) measure officer knowledge of the CIT-Y training curriculum's core elements pre- and post-training, (2) measure officer attitude of mentally ill youth pre- and post-training; (3) measure officer satisfaction with the presentation and delivery of the CIT-Y training curriculum; and (4) measure officer use of the training curriculum in the field. Year 2 of the evaluation will begin Winter 2011.

## **7. River Valley Juvenile Detention Center (RVJDC) Mental Health Program Evaluation**

River Valley Juvenile Detention Center (RVJDC) is a nationally accredited facility located in Joliet, Illinois. It serves both Will and Kankakee Counties and includes 102 beds, as well as a 24-hour on-site medical unit. RVJDC is a temporary placement center for juveniles, between the ages of ten and seventeen, awaiting court decisions on their cases. Only minors who have allegedly committed a delinquent offense and are determined to be a danger to the community or themselves may be detained. In 2008, a total of 911 juveniles were admitted and received medical and mental health care. In addition, the mental health department staff provided Will and Kankakee Counties juvenile justice courts with psychological reports on approximately 40 percent of the youth detained, as ordered by the judges. RVJDC mental health department staff plans to expand their program by providing Will and Kankakee Counties' juvenile-court judges with psychological reports on all detained youth. The psychological reports provide the juvenile-court judges with an extensive amount of information on youth backgrounds.

ICJIA research and analysis staff partnered with RVJDC administrative staff in March 2010 for the purpose of evaluating the facility's mental health program. An evaluation plan was finalized in July 2010 and includes the following objectives: (1) measure youth satisfaction with the conditions and treatment provided by RVJDC staff, (2) measure the use of court-ordered psychological reports, and (3) measure the influence psychological reports have on juvenile justice system outcomes. ICJIA research and analysis staff obtained approval for the evaluation project in August 2010 from the Authority's Institutional Review Board (IRB). Data collection began in September 2010 and was completed by September 2011. A report of this evaluation's findings will be published by the Authority before September 2013.

## **8. Illinois' Metropolitan Enforcement Groups and Task Forces Profiles**

In 2009, the Authority funded 19 Metropolitan Enforcement Groups (MEG) and Task Forces which pooling resources, knowledge and personnel to more efficiently and effectively fight the proliferation of illicit drugs. All MEGs and task forces are staffed by officers representing federal, state, county, and local police agencies. Periodically, the Authority creates profiles of each MEG and task force in order to provide a general overview of the drug and violent crime problem in their jurisdictions and share their response to these problems. Updated profiles will be published in early 2012.

## **9. Juvenile Redeploy Illinois monthly data collection tool**

Staff has developed a monthly data collection tool used by sites to help describe the youth going through Redeploy Illinois programs across the state. The data gathered will inform the Redeploy Illinois Board as to the effectiveness of the programs and the outcomes for participating juveniles. It

is anticipated that this reporting tool will ultimately be converted into a web-based database into which sites can report data directly and generate reports on their own program data.

## **10. Evidence Based Practices Initiative**

As part of ICJIA's movement toward endorsing promising and evidence-based practices, staff have begun to develop an online portal of promising and evidence-based juvenile and criminal justice practices. The resource will serve as a tool for potential and current grantees and other state and local program administrators. The components of this online resource will include:

- Descriptions of and links to existing online repositories and searchable databases of promising and evidence-based practices.
- Descriptions of and links to existing online technical assistance manuals and guides for identifying promising and evidence-based practices.
- Summaries of selected promising and evidence-based practices from meta-analyses and evaluations.
- Snapshots of selected current Illinois practices, resulting from current promising and/or evidence-based initiatives, which can be applied to the implementation of other promising or evidence-based programs. To contextualize the snapshots in order to provide an effective mechanism for knowledge transfer, program officials were asked to provide documentation on several implementation issues.

This information has begun to be placed on the agency website. More will be posted as additional evidence-based practices material is identified, and as tools are developed to facilitate the implementation process.

Staff have continued to refine the database and website during the last quarter. During the next twelve months, staff will add information/research on "proven" programs, meta-analyses, additional journal article abstracts. Additional enhancements to the database will then be put in place in order to make the database searchable. Finally, staff will focus particular attention on EBPs relating to federally-funded Authority programs, and ultimately share known evidence-based practices in Illinois with criminal justice practitioners.

## **11. Sentencing Policy Advisory Council (SPAC) Technical Assistance**

SPAC, which was created by Public Act 96-0711, will draw on criminal justice information collected by state and local justice agencies and use that information to explore sentencing issues and how these practices impact the criminal justice system as a whole. The Council consists of a nonpartisan group of 18 key stakeholders from across the state and local criminal justice systems, including members from all three branches of government: legislators (from both political parties and houses), retired judges, prosecutors, defense attorneys, corrections and administrators of the court officials, law enforcement, victim's rights advocates and academics. There are also ex-officio members from the agencies providing data to SPAC (Illinois Criminal Justice Information Authority, Administrative Office of the Illinois Courts, and Illinois Department of Corrections).

During this quarter, staff have worked with SPAC towards adopting a benefit-cost analysis tool developed by the Washington Institute for Public Policy (WSIPP) and supported by PEW. Staff have

worked with SPAC and the University of Chicago Crime Lab to collect necessary cost information from a selection of counties. Staff are working to analyze the data for use in the WSIPP tool.

## **12. Risks, Assets, and Needs Assessment (RANA) Task Force Support**

The Crime Reduction Act, signed into law in August 2009, created the Risks, Assets, and Needs Assessment (RANA) Task Force to adopt a standardized assessment instrument for the state criminal justice system. The goal of the Task Force is to find the best possible assessment instrument/system to be used at all levels of the Illinois criminal justice system to improve public safety outcomes. The task force has conducted a national overview of commonly used assessment tools, including LSI-R (which is used in Illinois), COMPAS, and Ohio Risk Assessment System (ORAS), and how they are used to structure case plans and supervision/sanction strategies. They have also reviewed the assessment instruments currently used by the courts and corrections agencies in Illinois. To aid in these objectives, the task force convened an advisory group with community-level representatives, researchers, the bar association, sex offender management board, and legislators. Authority staff serve on the task force, and have assisted in selecting criteria by which to assess the different instruments including: the inclusion of criminogenic domains, the validity and reliability of the assessment instrument, its utility at different points in the justice system, its adaptability to existing agency data systems, costs, and ease of implementation and training. A Request for Information (RFI) was issued in order to identify the overall capabilities of vendors to meet these criteria. Following a review of the RFI responses, a Request for Proposal (RFP) was prepared, and will be issued with the goal of selecting an instrument for implementation by Spring 2012. Shortly thereafter, a vendors conference will be held to supply additional information to the process.

## **13. Juvenile Justice System and Risk Factor Data: 2009 Annual Report**

Supported by a grant from the Illinois Juvenile Justice Commission, the annual report entitled "Juvenile Justice System and Risk Factor Data" is a compilation of county-level juvenile justice system and risk factor data. Research staff are now amassing the 2009 data sets for the 2009 Annual Report. Similar to previous editions, this report summarizes recent trends in these data over time and discusses emerging juvenile justice system issues in Illinois, including Balanced and Restorative Justice, the Illinois Juvenile Detention Alternatives Initiative, Disproportionate Minority Contact, and Redeploy Illinois.

## **14. Policies and Procedures of the Illinois Criminal Justice System**

Staff have completed an initial draft of a step-by-step walkthrough of the general practices, processes, and procedures of the Illinois criminal justice system, from arrest through community supervision. The report is intended to mirror the already published Juvenile Walkthrough and will include detailed information on assessments, diversion, and special issues. The report will be provided to an advisory committee of experts including judges, police, attorneys, and professionals in the field to review for accuracy. A draft of the report is complete and a final report is expected to be completed by Winter 2011.

## **15. Hate Crime in Illinois and across the United States Report**

Staff completed a report that compares incidences of reported hate crime throughout Illinois and the United States over an 11-year period, 1997-2007. Using ISP-UCR supplemental case level data as well as FBI-UCR data, staff is analyzing data to determine trends in the number of reported hate crimes within Illinois and throughout the United States from 1997 to 2007. The report involves analysis and evaluation of regional and county-level reporting of hate crime throughout Illinois, as well as the specific hate related offenses (i.e., against persons / property) committed and explicit locations where such crimes are occurring. Also, victim and offender characteristics (e.g., bias motivation, race, gender, religious affiliation, ethnicity, sexual orientation, physical/mental handicap, victim type, etc.) are being compared in an effort to identify relationships between victims and offenders of hate related offenses. Staff made a presentation on the findings of the report to the Illinois Crime Analysts Association in April. The final report is slated for publication in the winter of 2011, and will incorporate some feedback from the crime analysts.

## **16. Illinois College Campus Crime and Safety Issues Series**

Staff is working on a series of reports on the issues of emergency preparedness on college campuses, and general crime as reported through various sources of data. A second report in the series, *Trends in Illinois Campus Crime at Four Year Colleges, 2005-2008* was recently published. Two other reports are in progress: *Arrests and Referrals for Disciplinary Action at Four Year Colleges for Drugs, Liquor and Weapons Offenses, 2005-2009*, and *Trends in Illinois Campus Crime at Two Year Colleges, 2009*. These are scheduled for completion later this year.

Other reports in the series will include: the prevalence of sexual assault on campus, and hate crimes reported on college campuses compared to the state in general.

## **17. Get the Facts**

Staff continues to work on updating existing and create new “Get the Facts” publications. These popular publications explain processes and components of the Illinois criminal and juvenile justice systems, and have not been updated since 2000. Topic areas of the publications include: victims’ rights; the court system; criminal sentencing; juvenile sentencing; the juvenile court system; juvenile pre-trial; juvenile corrections; adult pre-trial; adults arrests; juvenile arrests; criminal records; and adult corrections.

The Get the Facts on expungement of adult records, adult arrests, corrections, adult pre-trial procedures, and the rights of crime victims were published in 2010 and are available on the Authority’s website. The Get the Facts publication on expungement of juvenile records was published July 2011. A Get the Facts on sentencing was published in August 2011, and on the pretrial process and courts was published in October 2011.

## **18. Juvenile Justice Information Request database**

Staff completed a database to track juvenile justice system information requests from agencies, legislators, and the public. This database will help the Authority determine what information is

requested, what it is used for, and how it can be made more available through our website. During the last quarter, 37 substantial requests for juvenile justice data and information were received and processed.

### **19. Multidisciplinary Team Evaluations**

ICJIA has identified the operation of multidisciplinary team (MDT) programs as potentially promising programs and that an evaluation of such programs is consistent with the current need to identify evidence based programming and practices in the criminal justice system. A Request for Proposals (RFP) was released and the University of Illinois at Springfield was selected to conduct the evaluation of the MDT programs currently operating in McLean, Kankakee, St. Clair and Peoria counties in Illinois. Multidisciplinary teams aim to bring together several components of the criminal justice and victim service systems in a coordinated approach to effectively process cases and provide support and service to victims. Three of the MDTs were formed to address domestic violence and one to address sexual assault cases. The study will assess to what extent the programs operated collaboratively, how victims were served and what efforts were made to hold the offender accountable. The evaluation began May 1, 2011 and will continue for one year.

### **20. Evaluation of IDOC's Sheridan Correctional Center Therapeutic Community Programs**

In response to increases in Illinois' prison population, low rates of access to substance abuse treatment services while in prison, and high rates of recidivism, on January 2, 2004, the Illinois Department of Corrections opened the Sheridan Correctional Center as a fully-dedicated, modified therapeutic community for incarcerated adult male inmates. Since the program began, a process and impact evaluation has been supported by ICJIA and conducted by researchers from Loyola University Chicago, the Illinois Department of Corrections, the Illinois Criminal Justice Information Authority, Treatment Alternatives for Safe Communities (TASC), the Safer Foundation, and WestCare. After 6 ½ years of operation, covering the period from January 2, 2004 through the end of State Fiscal Year 2010 (June 30, 2010), the evaluation has found the following:

- The pre-operational target population identified for the program is being served, with those admitted to Sheridan having extensive criminal and substance abuse histories, and a substantial unmet need for treatment, vocational and educational programming;
- The earned good conduct credits many of the inmates received at Sheridan for their participation in treatment during the first six full state fiscal years of operation (SFY 2005-2010) translates into a savings of 714 years of incarceration, which equates to \$16.7 million, or \$2.78 million per year, in reduced incarceration costs;
- Sheridan participants who earned a vocational certificate were almost twice as likely to have job starts than those released from Sheridan who did not earn a vocational certificate;
- As a result of the treatment services and aftercare received, those inmates released from Sheridan had a 16 percent lower likelihood of being returned to prison after three years in the community than a statistically similar comparison group of inmates released from Illinois' other prisons during the same time period, and a 25 percent lower recidivism rate than those removed from Sheridan due to disciplinary reasons; and,
- The largest reductions in recidivism—both in terms of rearrest and return to prison--were evident among those Sheridan releasees who successfully completed aftercare treatment. Those Sheridan graduates who also completed aftercare had a 44 percent lower likelihood of being returned to prison

after three years in the community than a statistically similar comparison group. Given that rates of aftercare treatment completion have improved substantially over the past year, it is likely that in the future the overall reductions in recidivism associated with Sheridan will be even larger.

Numerous other findings are documented in the full report, available on the ICJIA website. A Program evaluation summary was also made available in July 2011.

## **21. Evaluation of IDOC's Southwestern Illinois Correctional Center Therapeutic Community Programs**

In August 1995, the Illinois Department of Corrections opened the Southwestern Illinois Correctional Center (SWICC) as a dedicated substance abuse treatment facility operating under a modified therapeutic community philosophy. The 600-bed minimum security facility for incarcerated adult male inmates operated since 1995 as a prison-based drug treatment program, and was modified and enhanced beginning in October 2006 to include more extensive vocational training, a specialized methamphetamine treatment unit, more sophisticated pre-release planning and mandatory post-release aftercare. This evaluation examines the implementation of these enhanced services and the impact of this new enhanced treatment model at SWICC on recidivism since July 2006, and is the result of a collaborative effort between researchers from Loyola University Chicago, the Illinois Department of Corrections, the Illinois Criminal Justice Information Authority, Treatment Accountability for Safe Communities (TASC), the Safer Foundation, and Community Education Centers (CEC). After four years of operation, covering the period from July 1, 2006 through the end of State Fiscal Year 2010 (June 30, 2010), the evaluation has found that as a result of the successful implementation of the prison-phase of the Southwestern Illinois Correctional Center, coupled with the post-release aftercare component, the SWICC program has produced the following outcomes:

- The earned good conduct credits many of the inmates received at SWICC for their participation in treatment during the first four state fiscal years of operation (SFY 2007-2010) translates into a savings of 376 years of incarceration, which equates to \$8.8 million, or \$2.2 million per year, in reduced incarceration costs;
- As a result of the treatment services and aftercare received, those inmates released from SWICC had a 15 percent lower likelihood of being returned to prison after two years in the community than a statistically similar comparison group of inmates released from Illinois' other prisons during the same time period.
- The largest reductions in recidivism were evident among those SWICC releasees who successfully completed aftercare treatment. Those SWICC graduates who also completed aftercare had a 48 percent lower likelihood of being returned to prison after two years in the community than a statistically similar comparison group.

Numerous other findings were also evident from the study. The full report is now available on our website.

## **22. Mental Health Courts in Illinois**

Loyola University Chicago was selected to conduct the assessment and evaluation of mental health courts in Illinois. The project will inventory the operating courts in Illinois and assess what barriers were

in place for those courts that did not materialize. A more thorough evaluation will be conducted on specific courts in an effort to gauge their operations, effectiveness and outcomes. Loyola's methodology and study design includes a mixed methods approach, including quantitative data from program operations and client outcomes, as well as qualitative data stemming from interviews and focus groups with the court stakeholders.

A component of the study that includes client surveys will assess the perceptions of those that participate in the courts. Loyola will collect data and assess the implementation and operation of each court in Illinois, including those that are in the planning stages. Factors that contributed to the failure of some jurisdictions to implement a court will also be outlined. A more detailed in-depth analysis of three (3) courts will be conducted. This analysis will involve a thorough assessment as to the operation, impact and outcomes of the courts. Special attention will be paid to the multi-disciplinary roles of the MHC teams and the "boundary-spanning" that they are required to do. An additional extension was processed in order to complete data collection so that all counties with a MHC could be included. A presentation on preliminary findings was presented to the Illinois Association of Mental Health Courts in July and a final report is scheduled for December 2011.

### **23. Investigator Initiated Research**

Projects funded under an Investigator-initiated RFP address research in our priority areas of interest. A number of projects have been completed and final reports are available on our website. The following projects have recently been completed, are in progress or near completion.

- *Safer Return Demonstration: A Research-Based Community Reentry Initiative – An Examination of the Family-Inclusive Case Management Service Component* - Conducted by the Urban Institute this project will provide an implementation and impact evaluation of the family-inclusive case management component of the Safer Return offender reentry initiative. Stemming from a larger evaluation of the reentry initiative, this study will focus on whether and to what extent the family-inclusive case management benefits offenders returning back to the community and their family and social support networks. Individual and family-level outcomes will be assessed. Due to lower than anticipated enrollment, as well as delays in locating and contacting family members for follow-up interviews, the project has been granted an extension to March 2012 in order to achieve a sufficient sample size.
- *Systematic Evaluation of the Peoria Drug Market Intervention* - Conducted by Southern Illinois University, this project assessed the approach and effectiveness of a strategy to combat open air drug markets in the City of Peoria. The Peoria Police Department (PPD) implemented a proactive policing strategy in response to open-air drug markets in a high crime neighborhood. The PPD more specifically implemented a "pulling levers" intervention that relies on a combination of incapacitation for serious, chronic and violent offenders as well as a deterrent-based message combined with increased social support mechanisms for less serious offender who have been deemed suitable for diversion and have the potential to be diverted from a lifestyle of offending. The pulling levers framework is implemented at the local level and is designed to strengthen relationships and communication between criminal and social justice agencies. The evaluation of the implementation and preliminary impact of the effort is available on our website.

- *Growth of Illinois Prison Population and Assessment of Risk and Recidivism Among Prison Releasees* - Conducted by Loyola University, this multi-tiered project examined trends in prison admissions, end of year populations, and prison exits. Using existing data available from IDOC and the CHRI system, the research examined, in detail: 1) trends in admissions, exits and the end-of-year populations within prison and 2) the post-release recidivism of those released from prison. For both of these research activities, there was emphasis on the similarities and differences between female versus male inmates and releasees. The research sought to further the understanding within Illinois regarding the risk and recidivism of those released from prison, and assess the utility of existing information collected by DOC for determining post-release risk. It extends the potential predictive validity of risk assessment by examining community-level risk factors such as indicators of social disadvantage, social cohesion, and police surveillance as well as individual level characteristics, such as age, criminal history, substance abuse, and other factors. The research also examined post-prison recidivism of offenders using the most current statistical techniques and ensuring that the findings and results are useful to practitioners and policy makers. A number of presentations have been given on findings to date - including a presentation to the annual Justice Research and Statistics Association conference held this past October. The publication, *An Examination of Admissions, Exits and End-of-the-Year Populations of Adult Female Inmates in the Illinois Department of Corrections, State Fiscal Years 1989 to 2010* was released in June 2011 and an update of that report for SFY 2011 was submitted in October and will be posted to our website in November. An additional report covering general recidivism is forthcoming.

## **B. Program evaluation and research projects/ externally funded**

R&A staff has been successful in the pursuit of external discretionary grants to support research and evaluation efforts. Several current projects are or were supported by such grants:

### **1. Examining Illinois Probationer Characteristic and Outcomes**

In March of 2005, ICJIA research staff entered into a three-year cooperative agreement with the National Institute of Corrections (NIC) in the amount of \$194,232 to evaluate Illinois' Evidenced-Based Practices (EBP) Initiative. This project assisted local probation departments participating in the EBP Initiative by providing them with data and analysis that gauges performance before and during the implementation of evidence-based practices, and will establish a process and protocol for ongoing assessment of probation performance through an effective state and local partnership. All three waves of data collection (years 2002, 2005 and 2006) were completed in all six participating counties: Lake, DuPage, Adams, Cook, Sangamon and the 2<sup>nd</sup> Judicial Circuit. Data were re-analyzed and focused on an exploration of the final report was published in September 2011. The publication won the Phillip Hoke Award from the Justice Research and Statistics Association (JRSA) in Applied Research and Analysis category. This prestigious award honors the best work conducted by state statistical analysis centers (SAC) during the previous year.

## **2. Advanced Web Access to Criminal Justice Data**

The Authority received continued funding for this project, in the amount of \$68,000, bring the total award to \$127,000 over two years from the U.S. Department of Justice, Bureau of Justice Statistics (BJS) to enhance web access and on-line presentation formats of the Authority's holdings of criminal justice and social risk factor data. An added feature of the project is enhancements to the Federal Deaths in Custody Reporting process conducted by the Authority on behalf of Illinois law enforcement agencies. The grant ended on November 30, 2011.

The result of this project is the new *Illinois Statistical Analysis Center Website*, which was deployed live on Thursday, July 28, 2011. The Illinois SAC website provides Illinois-specific criminal justice information and data in a way that's informative, interesting, and easy-to-navigate. This site offers a wide range of materials and resources that are useful to justice system practitioners, planners, researchers, students, and the general public. Visit the site at: <http://www.icjia.org/public/sac/index.cfm>. A total of 21 data sets are currently available, including the recently released 2010 Census population counts. Due to high demand, several juvenile data sets have also been added.

The website was judged to be best of all 50 State Statistical Analysis Centers by the Justice Research and Statistics Association at its annual meeting in October 2011.

## **3. Illinois Department of Juvenile Justice Recidivism Study**

Staff conducted a research study on recidivism for juveniles admitted to the Illinois Department of Juvenile Justice which was funded for \$19,575 by the American Statistical Association/Bureau of Justice Statistics Small Grants program. This study employed various statistical techniques including multilevel modeling, survival analysis, and Markov modeling to examine recidivism in-depth. Further, recidivism was measured through readmission to either juvenile or adult correctional custody (derived from IDJJ and IDOC admissions data) and through re-arrest (derived from criminal history record information). Recidivism was compared across various demographic and offense-type subgroups, with special attention paid to neighborhood of release indicators using U.S. Bureau of the Census data. Staff traveled to Washington D.C. to present the preliminary findings to the Bureau of Justice Statistics in May 2011. The funding period ended on June 30, 2011. The final report was submitted to ASA/BJS on October 31 along with a de-identified copy of the report data which will be available through the National Archives of Criminal Justice Data maintained by the University of Michigan Interuniversity Consortium for Political and Social Research. Staff are currently working on generating smaller reports for dissemination.

## **4. Elder Abuse Collaboration Technical Assistance**

Supported by a grant from the federal government, staff provided technical assistance to this project aimed at coordinating responses to elder abuse among service providers, law enforcement and the courts. Participants include Metropolitan Family Service (an elder abuse service provider), the Chicago Police Department, and the Cook County State's Attorney's Office, among others. The goal of the program was to provide a coordinated response ensuring that victims receive needed services while abusers are held accountable in the criminal justice system. The project ended in November

2010, and a final report summarizing project activities is slated for completion in the spring of 2011. The policy team had hoped to expand the program to other Chicago Police Department Districts. However, layoffs of senior service officers by the department and cuts within the Cook County State's Attorney's Office have forced those plans to be abandoned until such time as staff levels can be restored.

The latest elder abuse policy team meeting took place on July 10, 2011. Several issues were discussed in light of new leadership at the Chicago Police Department. The final report of the collaborative process is in review and should be published in inter, 2011.

### **C. Criminal History Record Information (CHRI) Ad Hoc Data Connection Partnership**

The Authority has entered into a data sharing partnership with the Illinois State Police, whereby CHRI data is made available to staff via a permanent on-line connection. All data entered on state "rap sheets", as housed on the State Police Computerized Criminal History system, are accessible to staff in electronic format. These data provide a unique resource for answering information requests from legislators, the media, and other interested parties, in-house research projects and studies being conducted by others around the country. A CHRI Ad Hoc Data Archive of all criminal history records from 1990-2009 has been created in formats that can be readily shared with researchers. This Archive currently holds close to a million records for each year of data.

The CHRI Ad Hoc data partnership with the State Police has progressed to the point where the Authority is now considered the sole source for electronic CHRI data for research purposes. (The State Police still generate paper versions for a fee.) Outside researchers can enter into a User Agreement to gain access to CHRI data which is distributed through the Authority. The process incorporates verification of bona fide research and appropriate protection of confidential data. The unified user agreement facilitates accountability for the research use of criminal history data records.

During the last quarter, several CHRI User Agreements were processed from Loyola University, Western Illinois University, and the Chicago School of Professional Psychology. Since the inception of the CHRI Ad Hoc Connection Partnership, at least 53 outside research projects have received CHRI data from the Authority.

Staff is also working with several university-based researchers to develop appropriate methodologies for their research using CHRI Ad Hoc data, and is reviewing drafts of completed research projects for appropriate interpretation of the CHRI data used. Technical assistance on CHRI data interpretation and data manipulation was provided to several researchers who received data in previously, including those from the University of Chicago, and the University of Illinois Center for Prevention Research and Development.

Staff is also investigating the *Merge ToolBox System* of probabilistic matching techniques in tandem with researchers from the University of Chicago Crime Lab to determine whether it is possible to increase our ability to find matching arrest records in cases where the requestor's input data has errors in reporting the person's name or date of birth or in cases where an arrestee has only one arrest and that arrest has errors in the input of name or date of birth. Using this tool can suggest the

statistical likelihood that “near matches” are the same individual, which may increase the number of success matches achieved between the user’s dataset individuals and arrestees in the CHRI Ad Hoc database.

Information requests answered using CHRI data. During the last quarter, updating of all non-expunged arrests and associated arrest charges and court dispositions from 1999 through 2011 continued for loading into a new SQL Server database to provide an immediate querying capability for these arrests, dispositions, and charges covering the entire ten year period. In combination with CHRI data already stored in SPSS files, these data were used to answer several media requests for criminal justice statistics. During this quarter, CHRI data were used to answer user questions about juvenile arrests, arrests for drunk driving, and arrests for criminal transmission of HIV.

### III. ONGOING ACTIVITIES

#### A. Briefings/Presentations

Since the last Authority update for the September 2011 meeting, R&A staff made presentations at, and/or attended the following professional meetings:

- Staff attended a meeting with a University of Chicago student regarding analysis of campus crime data on August 17.
- Staff attended a juvenile Redeploy Illinois Board meeting on August 19 to discuss R&A's contributions to the next Redeploy annual report as well as the monthly data being submitted by the sites.
- Staff participated in VOCA grantee training on August 22 at the Authority's offices to review data reporting requirements.
- The research director and executive director met with the Jennifer Jenkins of the Chicago Battered Women's Network on August 23 to discuss evaluation of domestic violence programs.
- On August 23, staff attended a meeting at St. Leonard's Ministries to discuss the evaluation.
- The research director and executive Director met on August 24 with the Chicago Women's Foundation to discuss evaluation of domestic violence programs.
- On August 24, staff met with Cook County Domestic Violence Court Administrator Leslie Landis to conduct analysis of the services provided to victims seeking orders of protection.
- Staff met with Robert Vickery of the Illinois Juvenile Justice Commission on August 25 to discuss his study of juvenile aftercare services and its connection to the Authority's IDJJ recidivism study.
- Staff met with the University of Chicago staff on August 30 to match records with CHRI data for their "Becoming A Man" (BAM) program within the Chicago Public Schools.
- Staff met with the Chicago Police Department's Crisis Intervention Team for Youth staff on September 2 to discuss Year 2 of the program evaluation.
- On September 8, staff attended a meeting with St. Leonard's Ministries regarding the program evaluation of their temporary residential programs.
- Staff met with Miguel Millet of the Illinois Juvenile Justice Commission and Pam Rodriguez of TASC on September 14 to develop the data collection strategy for the statewide disproportionate minority contact (DMC) assessment.
- Staff met with Dr. Wittner from Loyola University on September 14 regarding observations for the St. Leonard's House Evaluation.
- Staff attended a two-day conference in Chicago on September 15 and 16 hosted by Pew and the Vera Institute to discuss existing and future strategies to implement performance-incentive funding (PIF). Staff sat on a panel discussion of data collection and performance measurement for Adult Redeploy Illinois, one of the few state-level PIF programs in the country.
- Staff attended a meeting of the Juvenile Redeploy Illinois on September 17.
- Staff participated in several conference calls with staff from PEW and the Oregon State SAC regarding the Fiscal Impact Statement model being developed for SPAC, on September 15, September 19 and October 24.

- Staff attended the Juvenile Redeploy Illinois Oversight Board meeting on September 16.
- Staff held a training on September 20 on the new data applications on the R&A website.
- Staff attended the Illinois Juvenile Justice Commission meeting on September 21 at the DHS building in Chicago.
- On September 22, staff attended a cultural diversity training.
- Staff participated in a conference call on September 22 with Dan Reese, who manages the Tracker system (data system for some local juvenile probation offices and detention centers) to discuss access to data that will help with the DMC assessment under the Juvenile Justice Commission project.
- Staff participated in a conference call with Kathy Saltmarsh and the University of Chicago Crime Lab on September 27 to discuss Sentencing Policy Advisory Council (SPAC) fiscal models.
- Staff met with the DMC sub-committee chair Pam Rodriguez regarding the Juvenile DMC project data collection efforts on September 27.
- Staff met with Sharod Rao on September 28 at the Authority's offices to discuss implementation of small-scale justice information sharing projects.
- Staff met with Justice Gino DiVito and Kathy Saltmarsh on September 29 to discuss the fiscal impact statements.
- Staff attended the Illinois Advisory Council on Alcoholism and Other Drug Dependency Meeting on September 29, as a proxy for the Executive Director.
- Staff conducted site visits to current Adult Redeploy Illinois pilot sites to assess implementation and progress. Staff visited Macon County on September 29, DuPage County on October 14, St. Clair County on October 17, and Jersey County on October 18.
- Staff presented results of study on probationer outcomes in Illinois to the Sentencing Policy Advisory Council (SPAC) on September 30.
- Staff met with the Executive Director of SPAC and the University of Chicago Crime Lab on September 30 to discuss data collection efforts.
- On October 5, new Institutional Review Board (IRB) member and John Howard Association president John Maki, met with staff to become oriented to IRB rules and procedures.
- Staff held a conference call with IDOC officials on October 6 to discuss access to parole data to be used in the evaluation of the St. Leonard's Ministry ex-offender re-entry services.
- On October 7, staff attended a PROMISE (Partnership to Rescue Our Minors from Commercial Exploitation) meeting.
- Staff met with staff at the University of Chicago Crime Lab to discuss SPAC efforts on October 7.
- On October 11, staff met with Jeff Shuck, of Illinois Central Management Services, who has been appointed to serve as Chairman of the Task Force on Inventorying Employment Restrictions.
- On October 11, staff met with Dawn Dalton of the Metro Chicago Battered Women's Network to discuss a proposal to assess the outcome of services provided to domestic violence victims.
- Staff hosted conference call discussion with officials of the Washington State Institute for Public Policy (WSIPP) on October 12 to obtain technical assistance in the implementation of a cost-benefit model that will be used by the Sentencing Policy Advisory Council to generate fiscal impact statements on selected legislation.

- Staff attended the Illinois Family Violence Coordinating Council steering committee meeting on October 12.
- Staff met with University of Chicago student regarding analysis of campus crime data on October 12.
- Staff participated in a conference call with Gary VanLandingham from PEW to discuss using the WSIPP benefit-cost model in Illinois on October 12.
- Staff attended the inaugural meeting of the Racial and Ethnic Impact Taskforce, co-chaired by State Senator Mattie Hunter and State Representative La Shawn Ford on October 14.
- Staff participated in an Illinois Juvenile Justice Commission DMC subcommittee meeting via phone on October 17.
- Staff participated in a webinar on October 18 on Evidence Based Practices in juvenile justice.
- Staff participated in a webinar on the Washington State Institute for Public Policy (WSIPP) cost-benefit analysis model, hosted by the Pew Research Center on October 18. This model will be utilized by staff in assisting the Sentencing Policy Advisory Council (SPAC) to produce fiscal impact statements.
- Staff met with Pam Rodriguez and Miguel Millet (juvenile justice commission DMC subcommittee members) to discuss the statewide DMC study on October 19.
- Staff attended a meeting of the Governor's Health Reform and Justice Populations Workgroup via conference call on October 19.
- On October 19, staff presented information about the Authority at a University of Illinois at Chicago internship fair.
- On October 20, staff attended a meeting at Anne's House, a residential facility for trafficking victims, regarding their evaluation.
- Staff observed an expungement court call at Criminal Court (26<sup>th</sup> & California) on October 20, ahead of a possible collaborative project with the University of Chicago Crime Lab.
- Staff met on October 20 with representatives of the Juvenile Justice Commission Disproportionate Minority Contact (DMC) Sub-Committee, to provide technical assistance regarding their state-wide DMC project required by the Office of Juvenile Justice Delinquency Prevention (OJJDP).
- The research director provided testimony on October 20 in Chicago for the National Association of Criminal Defense Lawyers Task Force on Restoration of Rights and Status after Conviction Chicago Hearing. He discussed the Authority's legislative mandate to conduct an inventory of employment restrictions for persons with criminal convictions.
- The Research Director and Executive Director participated on a panel for the International Association of Chiefs of Police (IACP) Research and Advisory Committee meeting on October 23 in Chicago. They discussed the Authority's statewide criminal justice strategic plan.
- On October 28 staff provided a short presentation on current juvenile justice research for the Juvenile Justice Initiative Board.
- Staff participated in the Illinois Violence Prevention Authority Board Retreat on October 28.
- Staff participated in a conference call on October 31 with SPAC Executive Director Kathy Saltmarsh to discuss data collection efforts to enable use of the Washington State Institute of Public Policy's (WSIPP) cost –benefit model in order to produce fiscal impact statements.
- On October 31, staff met with a PhD student from University of Illinois at Chicago regarding her assistance on an evaluation.

- Staff met with representatives of the Metropolitan Battered Women’s Network and Chicago Community Trust regarding their Victim Services Outcome Measures project on November 1. Staff are working with them on a research initiative to collect outcome indicators for domestic violence victims who have received services from agencies that report data through InfoNet.
- The research director and executive director attended the “Shaping Ohio’s Future with Today’s Evidence” conference on November 3 & 4 to coordinate state strategic planning efforts between Illinois and Ohio.
- On November 7, staff met with SPAC Executive Director Kathy Saltmarsh and Kathy Braden from the University of Chicago Crime lab to discuss data being collected on the costs of criminal justice processing as part of the implementation of the Washington State Institute of Public Policy’s (WSIPP) cost –benefit model. This meeting was in preparation for a technical assistance visit from officials from Pew Center on the States scheduled for November 16 and 17.
- Staff presented preliminary enrollment numbers for four current Adult Redeploy Illinois pilot sites at the November 7 Oversight Board meeting.
- Staff attended a webinar on November 9 concerning evidence-based policing.
- Staff presented IRB applications for the IRB meeting on November 10. The following projects were presented:
  - A technical assistance data analysis project on behalf of the Juvenile Justice Commission involving analysis of TRACKER (juvenile probation case management system) data to assess disproportionate minority contact (DMC) in 19 target counties will be presented, along with the Adult Prisoner Criminal History Analysis Tool project sponsored by BJS.
  - Evaluation of St. Leonard’s House program for ex-offenders evaluation.
  - Analysis of data pertaining to the Winnebago County client population for the Adult Redeploy Illinois program evaluation.
  - The Adult Prisoner Criminal History Analysis Tool
- Staff met with representatives of the Pew Trust and the Washington State Institute for Public Policy on November 15 and 16 to receive technical assistance in implementing a cost-benefit analysis tool for policy analysis.

## **B. Criminal Justice Information Clearinghouse**

The Authority serves as a statewide clearinghouse for statistics and other information about crime and the criminal justice system. The Clearinghouse Center within R&A maintains and regularly updates many county-level datasets related to crime and the criminal justice system, as well as social risk factor data. These datasets are used for in-house research projects and publications, such as *Trends and Issues* and *County Profiles of the Criminal and Juvenile Justice Systems*. The Clearinghouse is also responsible for answering requests for criminal justice data and information, as well as maintaining the publications on the Authority’s website: [www.icjia.il.state.us](http://www.icjia.il.state.us) .

### **Information Requests**

Many requests continue to come from government agencies (23 percent) and private agencies (27 percent) citizens (45 percent). Other requests came from researchers, students, news media representatives, and prison inmates. Fourteen percent of the requests originated in the Chicago

metropolitan area, and another 27 percent originate in other parts of the state. All other requests for information were received from other states, outside the United States, or unknown origin (*Appendix B*).

#### New Publications

R&A published five research reports during the period August 2011 through October 2011. The titles are listed in Appendix A.

#### Website

The volume of web users has remained at high levels. Using *WebTrends* website analytics tool, it was determined that the website had 63,731 unique visitors during the period August 2011 through October 2011, and that all new and returning visitors viewed 574,417 pages of content on the site (*Appendix C*). The website continues to be a valuable resource for our constituents.

The following Table shows the publications that were downloaded most often from the Authority's website from August 2011 through October 2011.

**Table 1**  
**Top 25 Downloaded Publications August-October 2011**

<b>WebTrends Download Trends Top 25 From August 1, 2011 to October 31, 2011</b>	<b>Downloads</b>
Policies and Procedures of the Illinois Juvenile Justice System (August 2010)	22,351
Mental Health Screening and Assessment in the Illinois Juvenile Justice System (March 2010)	11,198
Juvenile Justice System and Risk Factor Data for Illinois: 2007 Annual Report (December 2009)	5,642
Illinois Criminal Justice Information Authority 2010 Annual Report (April 2011)	5,474
Implementing Restorative Justice: A Guide for Schools (October 2009)	4,562
Developing profiles of violent offenders and identifying groups of violent offenders at high risk of recidivism and treatment failure (August 2004)	2,534
The Little Village Gang Violence Reduction Project in Chicago (March 2004)	2,455
Community Policing in Chicago, Year Four: An Interim Report (November 1997)	2,392
Victimization and Help Seeking Behaviors among Female Prisoners in Illinois (April 2010)	2,337
The Commercial Sexual Exploitation of Children and Youth in Illinois (January 2008)	2,203
An Evaluation of the Moral Reconciliation Therapy of the Franklin/Jefferson County Evening Reporting Center Program (2003)	2,149
Issues in Illinois College Campus Safety Trends in Campus Crime at Four-Year Institutions, 2005-2008 (August 2011)	2,107
Statewide Terrorism & Intelligence Center Program Review Executive Summary (June 2007)	2,022
IIJIS eNews - Illinois Integrated Justice Information System (IIJIS) Electronic Newsletter (Volume 2, Issue 1, July 2011)	2,008
GET THE FACTS: Juvenile Justice System - Juvenile Criminal Records (July 2011)	1,900
INFONET Manual: Domestic Violence Users	1,787
Juvenile Justice System and Risk Factor Data for Illinois: 2006 Annual Report (November 2009)	1,755
Illinois Municipal Officers' Perceptions of Police Ethics (September 1994)	1,753
Trends and Issues 2008: Juvenile Justice System (July 2008)	1,721
CAPS at Ten, Community Policing in Chicago, An Evaluation of Chicago's Alternative Policing Strategy (January 2004)	1,708
The Compiler: The Response to Domestic Violence (Winter-Spring 2008)	1,697
Implementing Restorative Justice: A Guide for Juvenile Probation (May 2006)	1,604
Critical Incident Preparedness and Response on Campus: Examining the Relationship Between Local Law Enforcement and Post-Secondary Institutions in Illinois and the United (December 2008)	1,565
Illinois Integrated Justice Implementation Board 2011 Annual Report	1,418
Privacy Policy Guidance for Illinois Integrated Justice Information Systems (December 2006)	1,411

*Acquiring/updating/documenting dataset holdings*

During the last quarter, staff continued to inventory and update core datasets data on all criminal justice and risk factor topics, including Administrative Office of the Illinois Courts data for juveniles.

**C. FSGU Support Efforts**

Several R&A staff work in a partnership with the Authority's Federal and State Grants Unit (FSGU) to provide statistical technical assistance. Upon request, R&A staff review grant data reports, grant proposals, and data report forms; create grantee program profiles; report at FSGU grant meetings; participate in conferences; offer statistical information through presentations; attends relevant

trainings; and create and update grant-related databases. R&A staff continued to work with FSGU staff responsible for the VAWA, VOCA, JABG, MVTPC, and MEG/TF funds. In addition, R&A staff assumes responsibility for the computerization of some program performance for many grants.

During the last quarter, staff assisted extensively on the development of continuation/exhibit A forms for the Victims of Crime (VOCA) grants, and updates to the STOP VAWA annual report. During this quarter, work was focused on the VOCA data reports and compiling statistics for the upcoming VOCA Annual Report due to OVC at the end of December.

#### **D. Technical Assistance**

- Staff are working to develop improved data collection mechanisms for the Authority-funded Drug Task Forces and Metropolitan Enforcement Groups and are holding focus groups with their members to learn more about their performance objectives.
- Staff are working with officials from the Illinois State Police (ISP) and the Administrative Office of the Illinois Courts (AOIC) to organize the required data for the U.S. Dept. of Justice, Bureau of Justice Statistics for National Instant Check System (NICS).
- Staff has continued to provide technical assistance to Adult Redeploy Illinois pilot sites for data collection, and will continue to provide support for existing sites and new sites as they are rolled out.
- Staff provide research support for the Sentencing Policy Advisory Council.
- Staff continues to participate and provide technical assistance to Domestic Violence Advocacy Coordinating Council (DVACC) Data Workgroup Meeting
- Staff provides technical support to the Chicago Metropolitan Battered Women's Network in establishing measurable outcomes/goals
- Staff continues to provide technical support to the Cook County Domestic Violence Court in establishing a data collection tool for tracking clients seeking services at the courthouse. Staff continues to work with the court to create and refine queries and reports for data summaries.

#### **E. Deaths in Custody Reporting**

The Deaths in Custody Reporting Act (DICRA) (P.L. 106-297, effective Oct. 13, 2000) requires states to compile and report quarterly to the U.S. Department of Justice's Bureau of Justice Statistics (BJS) the number of deaths, and the circumstances surrounding the deaths, of people in local jails, state prisons, juvenile correctional facilities, and law enforcement custody. Until recently, the information requested by BJS was limited to deaths in local jails, state prisons, and state juvenile facilities. Collection of DICRA information has been expanded to include deaths that occur while a person is in police custody or in the process of arrest. Information on deaths that occur while a person is in police custody will supplement the annual data on prison inmate deaths that BJS already receives from the Illinois Department of Corrections as part of the National Prisoners Statistics Program and the National Corrections Reporting Program. R&A staff began in July 2004 collecting specific information requested by BJS for all deaths in Illinois that occur while the person is in police custody or in the process of arrest by local, county, and state law enforcement agencies regardless of cause of death. For reporting purposes, the data include the following:

- Deaths occurring when an individual is in the physical custody, or under the physical restraint, of law enforcement officers, even if the person was not formally under arrest.
- Deaths that occur by natural causes, while in the physical custody, or under the physical restraint, of law enforcement officers, even if the person was not formally under arrest.
- Those killed by any use of force by law enforcement officers prior to booking
- People who died at a crime/arrest scene or medical facility prior to booking.
- Deaths occurring in transit to or from law enforcement facilities.
- Deaths of those confined in lockups or booking centers (facilities from which arrestees are usually transferred within 72 hours and not held beyond arraignment).

Forms for all 2010 cases were sent to police departments and sheriffs offices. Staff are following up and attempting to complete all 2010 cases while identifying 2011 cases. BJS utilizes the data to produce reports of arrest fatalities in the United States, with updates planned as states submit data. The last report, Arrest-Related Deaths in the United States, 2003-2006, was released in July 2009.

As part of the SJS grant received from the U.S. Department of Justice Bureau of Justice Statistics, a new DICRA web page was designed to facilitate reporting from law enforcement agencies. A permanent graphical link has been added to the ICJIA homepage sidebar that allows users easier access to a new web page regarding how to report a death that would be eligible for reporting. The page consists of three main sections (general information, information for law enforcement agencies, information for coroners/medical examiners) that each contain more detailed information. Forms provided by the Department of Justice are available for users to fill out and return to the Authority. The website can be accessed here: [www.icjia.state.il.us](http://www.icjia.state.il.us). A short survey targeting coroners and their knowledge and willingness to participate in the reporting process is being developed.

#### **F. Authority's Institutional Review Board (IRB)**

Staff presented applications for the IRB meeting on November 10 on the following projects:

- A technical assistance data analysis project on behalf of the Juvenile Justice Commission involving analysis of TRACKER (juvenile probation case management system) data to assess disproportionate minority contact (DMC) in 19 target counties will be presented, along with the Adult Prisoner Criminal History Analysis Tool project sponsored by BJS. *Expedited review was approved by the Board.*
- Evaluation of St. Leonard's House program for ex-offenders evaluation. *This project required full review and was tabled by the Board pending some modifications to the informed consent procedures.*
- Analysis of data pertaining to the Winnebago County client population for the Adult Redeploy Illinois program evaluation. *This project required full review and was approved by the Board.*
- The Adult Prisoner Criminal History Analysis Tool. *Expedited review was approved by the Board.*
- The case study of the Winnebago County justice information sharing initiative. *An IRB exemption was approved by the Board.*

#### **IV. GRANT PROPOSALS**

R&A staff routinely pursues discretionary grants to support data collection, research, and evaluation activities:

- Staff received a \$73,000 grant from the Bureau of Justice Statistics to develop a web-based “Adult Prisoner Criminal History Analysis Tool”, that will enable users to explore the various patterns of Illinois prisoners’ prior criminal history and how that relates to their prison term. The project began on October 1.

#### **V. CONTINUING STAFF DEVELOPMENT AND TRAINING**

- Staff attended the Performance Incentive Funding Summit held by Pew and Vera in Chicago and participated on a panel discussing data collection and performance measurement. Staff continue to apply this knowledge to the assessment of Redeploy Illinois sites.
- Staff participated in VOCA grantee training on August 22 at the Authority’s offices to review data reporting requirements.
- Staff participated in a webinar on “Performance Incentive Funding” on September 7.
- A presentation of the website was provided on September 20. Staff completed the new criminal justice data analysis tools that are now accessible from the agency website. The Single Map Profile allows users to view longitudinal trends and compare their county to other counties and regions in Illinois. The Detail Data Table View allows users to view data totals and rates by county, year, and data themes. The Scatter Plot Profile allows users to plot and examine correlations between any two indicators across counties.
- On September 22, staff attended cultural diversity training.
- Staff participated in a webinar on October 18 on Evidence Based Practices in juvenile justice.
- Staff participated in a webinar on the Washington State Institute for Public Policy (WSIPP) cost-benefit analysis model, hosted by the Pew Research Center on October 18. This model will be utilized by staff in assisting the Sentencing Policy Advisory Council (SPAC) to produce fiscal Impact Statements.
- Staff participated in a webinar “Promising Practice in Law Enforcement: Implementing STOP Formula Grants” on October 26.
- Staff attended a webinar on November 9 concerning evidence-based policing.

#### **VI. AWARDS AND HONORS**

- At the 2011 annual meeting of the Justice Research and Statistics Association (JRSA), two awards were received by Authority staff for criminal justice-related research work. The publication, “Examining Illinois probationer characteristics and outcomes”, authored by Sharyn Adams, Lindsay Bostwick, and Rebecca Campbell, won the Phillip Hoke Award in Applied Research and Analysis category. This prestigious award honors the best work conducted by state statistical analysis centers (SAC) during the previous year.

In addition, the R&A website was honored as being the best among all the state SACs. This work was carried out by Chris Schweda, Cristin Evans, Chris Devitt Westley, Mark Powers, Ernst Melchior, and Sal Perri.

- Staff member Jordan Boulger's manuscript, *Distinguishing Between Return to Juvenile versus Adult Prison in Juvenile Recidivism Analysis* will appear in the Justice Research and Statistics Association journal, Justice Research and Policy (Volume 13, No.1).

## **VII. INTERNSHIP PROGRAM**

Under the direct supervision of senior staff members in the Research and Analysis Unit, interns are hired to perform a variety of information functions relating to critical issues facing the Illinois criminal justice system. There is no compensation for these positions, but interns may be able to use the experience as course credit. Two interns are currently working in the R&A Unit: Amy Sylwestrzak, a Master's Degree candidate at the Chicago School of Professional Psychology, and Daniel Lawrence, a PhD candidate in Criminal Justice at the University of Illinois at Chicago.

cc: Jack Cutrone  
Hank Anthony

## **Appendix A – Most recent publications**

### **RESEARCH REPORTS**

**Examining Illinois probationer characteristics and outcomes (September 2011)**

**Issues in Illinois college campus safety: trends in campus crime at four-year institutions, 2005-2008 (August 2011)**

### **GET THE FACTS**

**Get the Fact on sentencing (October 2011)**

**Get the Facts on courts (October 2011)**

**Get the Facts on pretrial process (October 2011)**

## Appendix B – Information Request Handling Statistics

July 1, 2011 through September 30, 2011

<b>ITEM</b>	<b>REQUESTS/Pct</b>
<b>Number of information requests handled:</b>	22
<b>Monthly average number of requests:</b>	7
<b>Geographic origin of requesters:</b>	
Chicago metropolitan area	14%
Other region of Illinois	27%
U.S. other than Illinois	14%
Outside the U.S.	0%
Unknown	45%
<b>Type of requester:</b>	
Government agency	23%
Private agency	27%
Researcher	5%
Student	0%
Citizen	45%
Media	0%
Legislators	0%
Inmates	0%
<b>Method of request:</b>	
Telephone/fax	36%
Mail	0%
Email/Internet	64%
In-person	0%
ICJIA Website	0%

**Appendix C**  
[www.icjia.state.il.us](http://www.icjia.state.il.us)

**WebTrends**

<b>Months</b>	<b>File Downloads</b>	<b>Visitors</b>		
		<b>Unique</b>	<b>New Visitors</b>	<b>Returning Visitors</b>
Aug-11	204,234	20,766	17,672	3,094
Sept-11	201,637	20,779	17,979	2,800
Oct-11	188,537	22,186	19,111	3,075
<b>Total</b>	<b>574,417</b>	<b>63,731</b>	<b>54,762</b>	<b>8,969</b>
<b>Monthly Average</b>	<b>191,472</b>	<b>21,244</b>	<b>18,254</b>	<b>2,990</b>